

# India-based Neutrino Observatory (INO):

## *Physics Reach and Status Report*

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*For the INO Collaboration*

*(<http://www.ino.tifr.res.in/>)*

# Outline of talk

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- Physics possibilities at ICAL: atmospheric neutrinos
- The ICAL Detector: Current status

# Parameters of the 3 $\nu$ framework

The  $\nu_e$ ,  $\nu_\mu$  and  $\nu_\tau$  **flavours** do not have definite masses:

$$\nu_\alpha = \sum_i U_{\alpha i} \nu_i .$$

where  $\nu_1$ ,  $\nu_2$  and  $\nu_3$  have well-defined masses:  $m_1$ ,  $m_2$  and  $m_3$ , some are non-zero.  $U(\theta_{12}, \theta_{13}, \theta_{23}, \delta_{CP})$  is the mixing matrix.

**The Earth matter effect** mainly occurs in the  $\theta_{13}$  parameter:

$$(1) \quad (\sin 2\theta_{13})_m = \frac{(\sin 2\theta_{13})}{\sqrt{[\cos 2\theta_{13} - (A/\Delta m_{32}^2)]^2 + (\sin 2\theta_{13})^2}}$$

where

$$A = 7.6 \times 10^{-5} \rho E \text{ eV}^2 ; \quad \Delta m_{32}^2 = m_3^2 - m_2^2 ,$$

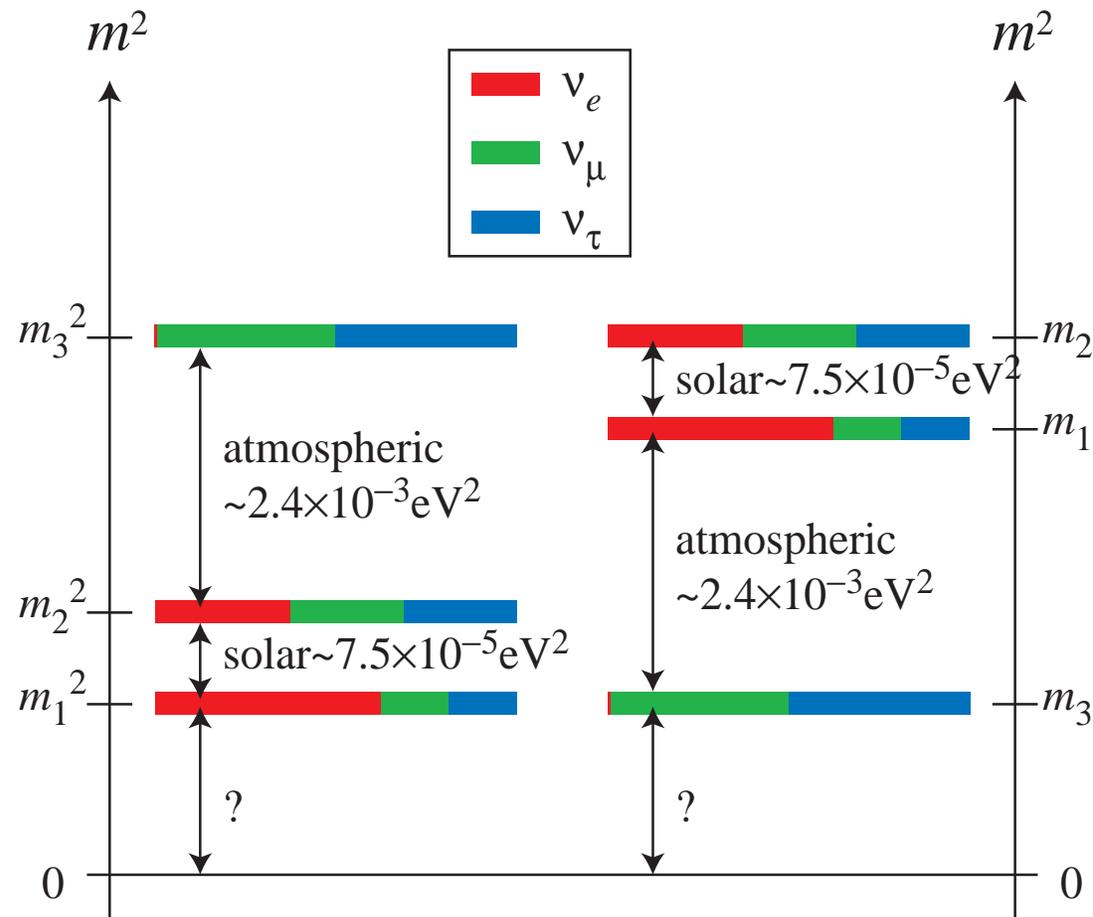
$\rho$  = earth density (gms/cc);  $E$  = neutrino energy in GeV

# A Schematic of Neutrino Properties

Neutrino masses are not well-known. Oscillation studies only determine the mass-squared differences:  $\Delta m_{ij}^2 = m_i^2 - m_j^2$  and the mixing angles  $\theta_{ij}$ . Phase(s) unknown.

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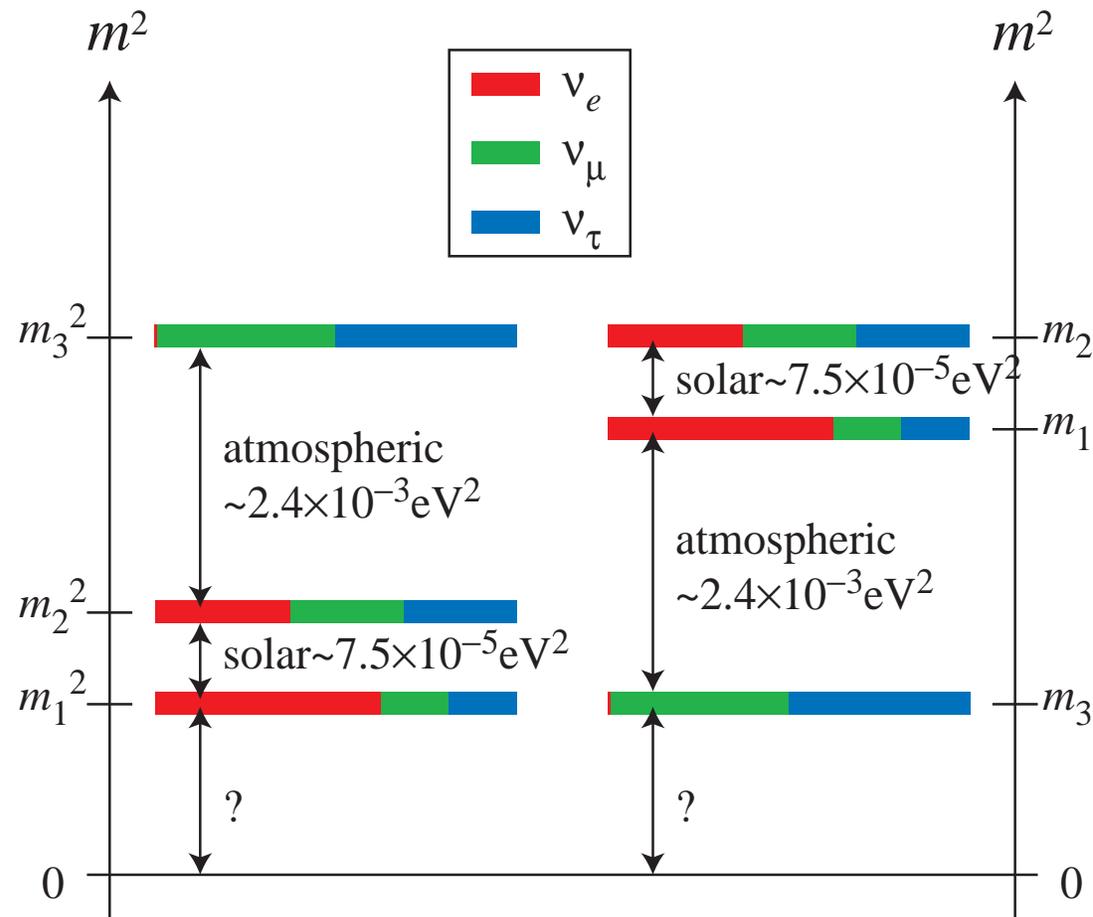
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$$\Delta m_{21}^2 \sim 0.76 \times 10^{-4} \text{ eV}^2 ;$$

$$|\Delta m_{32}^2| \sim 2.4 \times 10^{-3} \text{ eV}^2 ;$$

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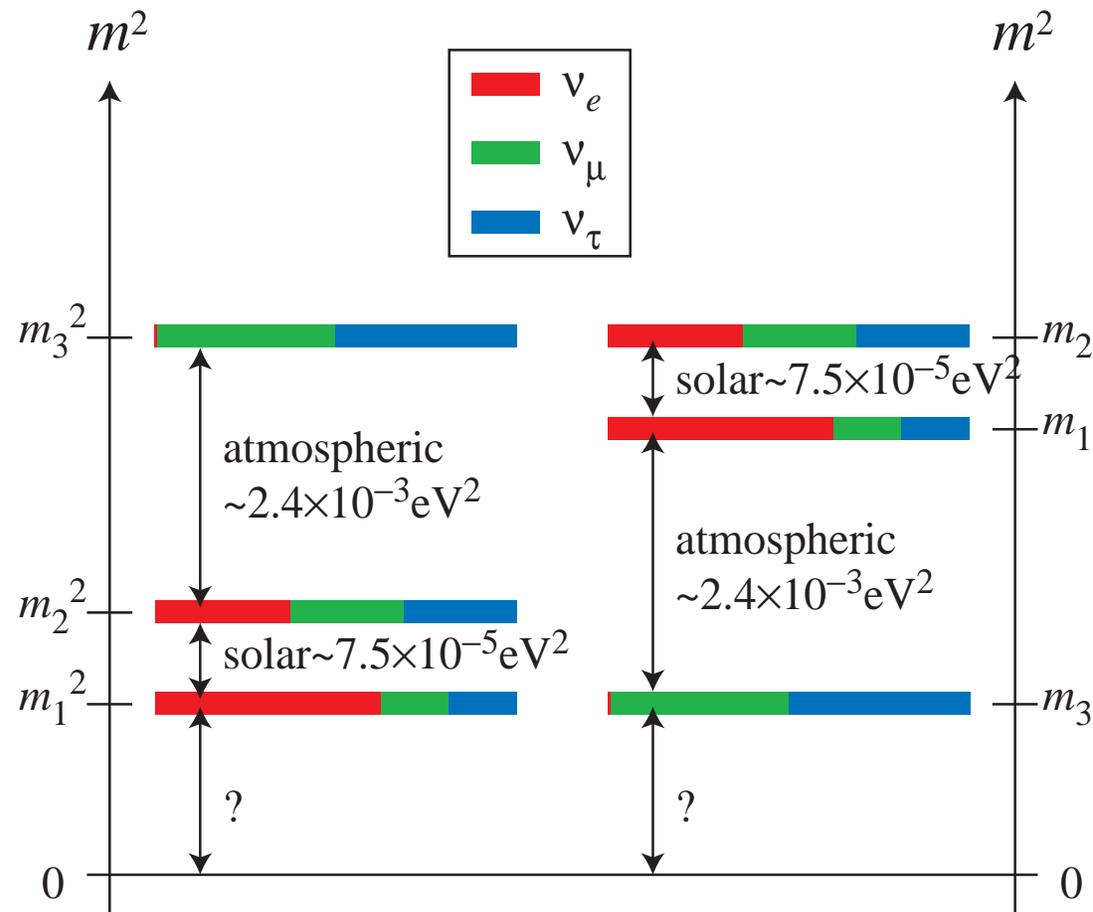
$$\sum_i m_i < 0.7\text{--}2 \text{ eV}.$$

- $m_1 \sim m_2 \sim m_3 \sim 0.2 \text{ eV}$   
(Degenerate hierarchy)

- $m_1 < m_2 \ll m_3$   
(Normal hierarchy)

- $m_3 \ll m_1 < m_2$   
Inverted hierarchy

(Nu-Fit, JHEP 12 (2012) 123 [arXiv:1209.3023])





# India-based Neutrino Observatory

# The INO Project

- Project funded by the Dept. of Science and Technology and Dept. of Atomic Energy, Govt. of India
- Immediate goal: Creation of an underground laboratory for research in neutrino physics
- Will develop into a full fledged underground laboratory over the years for other studies in physics, biology and geology
- Main detector proposed is magnetised Iron CALorimeter (ICAL) to primarily study atmospheric neutrinos
- Will incorporate Inter-Institutional Centre for High Energy Physics (IICHEP) at Madurai, a nearby city
- INO Graduate School: Some INO students have already graduated!

# The INO Collaboration



## Collaborating Institutions:

- Aligarh MU
- BARC
- Calicut U
- HRI
- IIT (Madras)
- IOP
- Lucknow U
- PRL
- SINP
- Utkal U
- Benaras HU
- Calcutta U
- Delhi U
- IIT (Bombay)
- **IMSc**
- Kashmir U
- Mysore U
- Panjab U
- TIFR
- VECC

# The choice of detector: ICAL

Use (magnetised) iron as target mass and RPC as active detector element. Reminder: KGF; MONOLITH detectors.

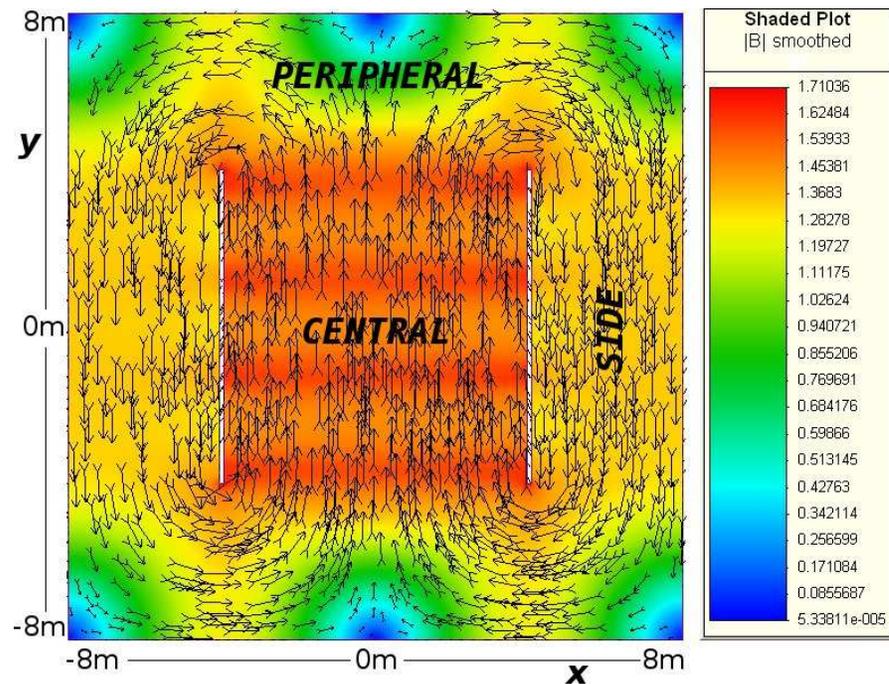
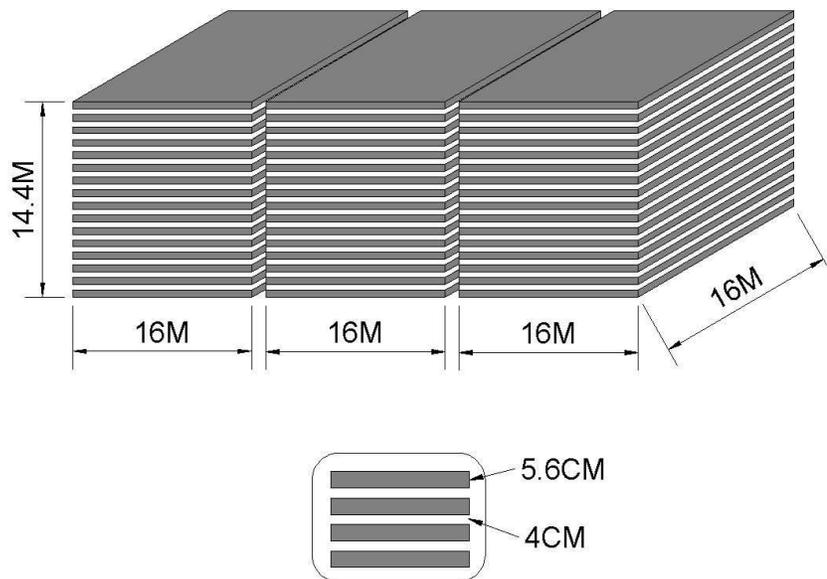
Atmospheric neutrinos have large  $L$  and  $E$  range. So ICAL has

- Large target mass: current design 52 kton;
- Nearly  $4\pi$  coverage in solid angle (except near horizontal);
- Tracks of upto  $\sim 20$  GeV muons contained inside detector; note that the most interesting region for observing matter effects in the 2–3 sector is 3–15 GeV;
- Good tracking and energy resolution;
- $\sim ns$  time resolution for up/down discrimination; good directionality;
- Good charge resolution; magnetic field  $\sim 1.5$  Tesla;
- Ease of construction (modular; 3 modules of 17 kTons each).

Mostly sensitive to muons, very little sensitivity to electrons; some to hadrons.

# The ICAL detector

- 52 kton detector, with 151 layers of 5.6 cm iron plates magnetised to  $\sim 1.5$  T, in three modules.
- Magnetic field mostly uniform in central region of each layer, in  $y$ -direction; changing in both magnitude and direction, and falling to zero in periphery.
- Active detector elements are Resistive Plates Chambers (RPCs).

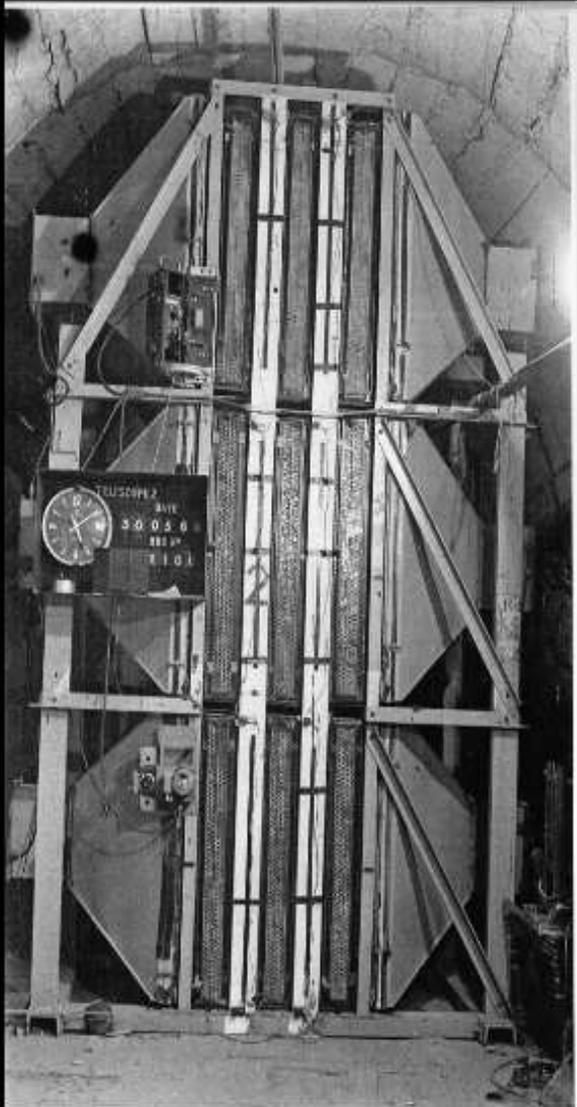


# Specifications of the ICAL detector

ICAL	
No. of modules	3
Module dimension	16 m × 16 m × 14.4 m
Detector dimension	48 m × 16 m × 14.4 m
No. of layers	150
Iron plate thickness	5.6 cm
Gap for RPC trays	4.0 cm
Magnetic field	1.5 Tesla
RPC	
RPC unit dimension	2 m × 2 m
Readout strip width	3 cm
No. of RPC units/Road/Layer	8
No. of Roads/Layer/Module	8
No. of RPC units/Layer	192
Total no. of RPC units	~ 30,000
No. of electronic readout channels	$3.9 \times 10^6$

Needs large industry interface.

# Atmospheric neutrinos – India connection



**Atmospheric neutrino detector  
at Kolar Gold Field –1965**

## DETECTION OF MUONS PRODUCED BY COSMIC RAY NEUTRINO DEEP UNDERGROUND

C. V. ACHAR, M. G. K. MENON, V. S. NARASIMHAM, P. V. RAMANA MURTHY  
and B. V. SREEKANTAN,

*Tata Institute of Fundamental Research, Colaba, Bombay*

K. HINOTANI and S. MIYAKE,  
*Osaka City University, Osaka, Japan*

D. R. CREED, J. L. OSBORNE, J. B. M. PATTISON and A. W. WOLFENDALE  
*University of Durham, Durham, U.K.*

Received 12 July 1965

*Physics Letters 18, (1965) 196, dated 15th Aug 1965*

## EVIDENCE FOR HIGH-ENERGY COSMIC-RAY NEUTRINO INTERACTIONS\*

F. Reines, M. F. Crouch, T. L. Jenkins, W. R. Kropp, H. S. Gurr, and G. R. Smith

*Case Institute of Technology, Cleveland, Ohio*

and

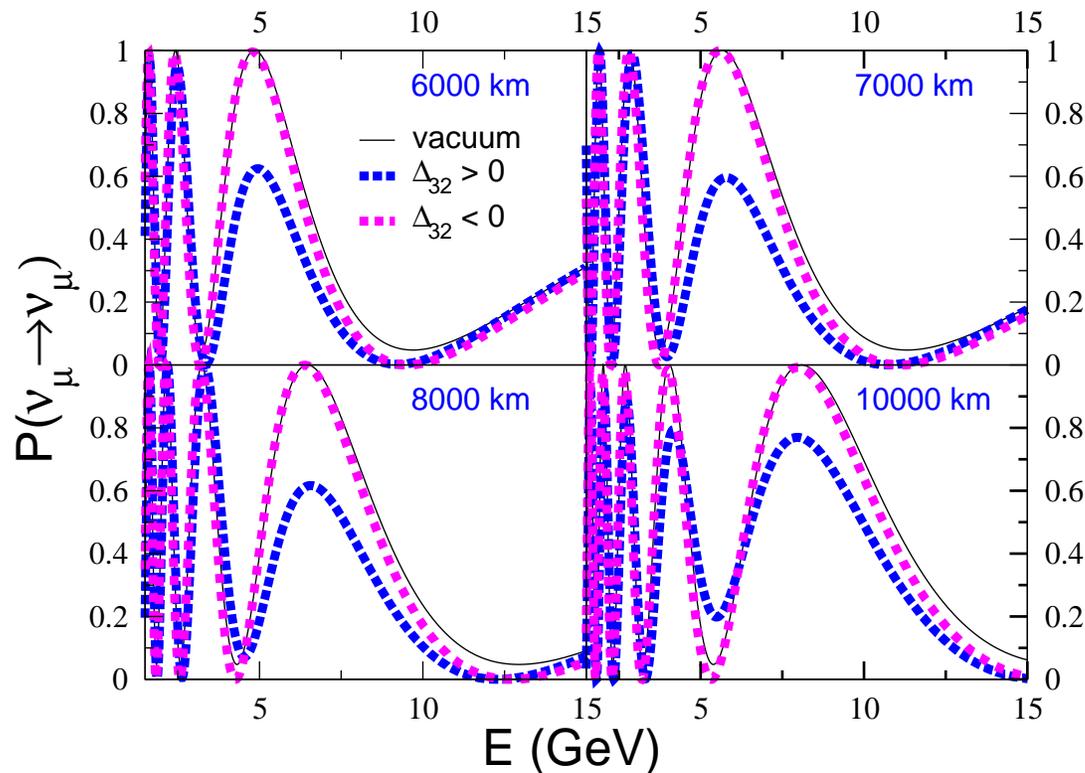
J. P. F. Sellschop and B. Meyer

*University of the Witwatersrand, Johannesburg, Republic of South Africa*

(Received 26 July 1965)

*PRL 15, (1965), 429, dated 30th Aug. 1965*

# Matter effect with atmospheric neutrinos



Matter effects involve the participation of all three (active) flavours; hence involve both  $\sin \theta_{13}$  and  $\delta_{CP}$ , in general. (R. Gandhi et al., PRL 94 (2005) 051801, PR D73 (2006) 053001.)

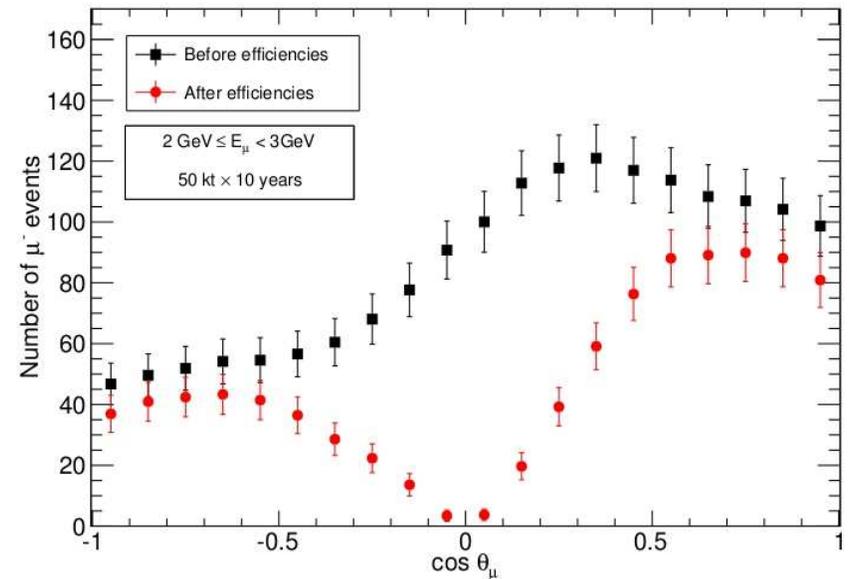
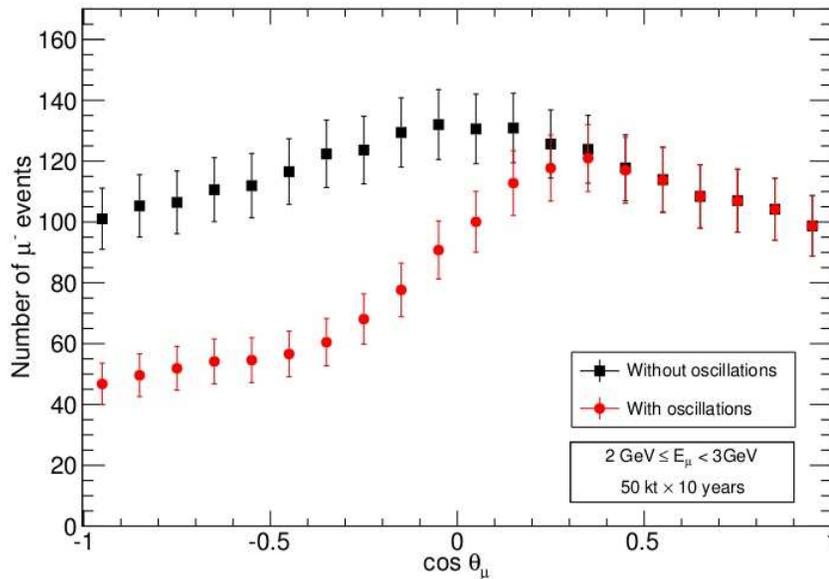
- Used NUANCE neutrino generator with Honda 3d (SK) fluxes for analysis (Theni Honda flux is getting finalised)
- 1000 year unoscillated events were generated and then smeared according to muon/hadron resolutions obtained by the simulations group.
- Events were scaled to suitable number of years and oscillated with fixed input values of oscillation parameters and hierarchy.

# Inputs and Events generation

$\Delta m_{eff}^2$	$\sin^2 \theta_{23}$	$\sin^2 2\theta_{13}$	$\delta_{CP}$
$2.4 \times 10^{-3} \text{ eV}^2$	0.5	0.1	$0^\circ$

$$\Delta m_{eff}^2 = \Delta m_{32}^2 - (\cos^2 \theta_{12} - \cos \delta_{CP} \sin \theta_{32} \sin 2\theta_{12} \tan \theta_{23}) \Delta m_{21}^2.$$

● Nuance event rates in 10 years in energy bin  $2 < E < 3 \text{ GeV}$ .



● Horizontal events are poorly reconstructed.

# Definitions

$$\chi_{\text{tot}}^2 = \min_{\{\xi_j\}} \sum_{i=1}^{N_{\text{bin}}} \left[ 2 \left( N_i^{\text{th}} - N_i^{\text{ex}} \right) + 2N_i^{\text{ex}} \ln \left( \frac{N_i^{\text{ex}}}{N_i^{\text{th}}} \right) \right] + \sum_{j=1}^k \xi_j^2,$$

where

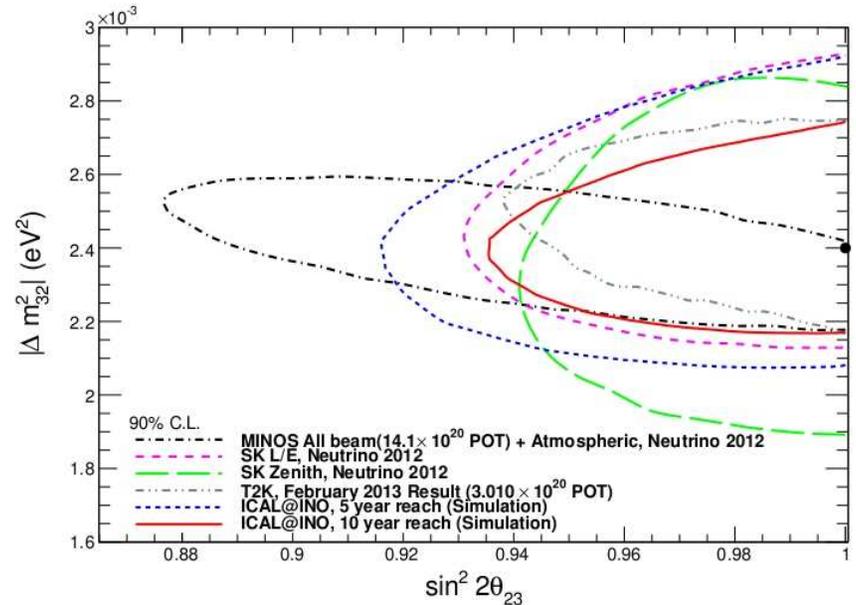
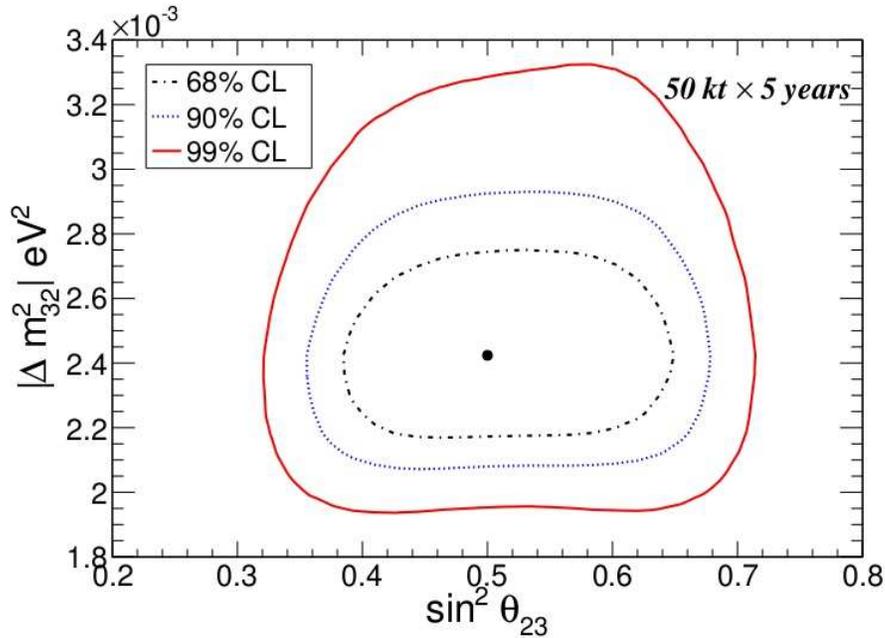
$$N_i^{\text{th}} = N_i^{\prime\text{th}} \left( 1 + \sum_{j=1}^k \pi_i^j \xi_j \right) + \mathcal{O}(\xi_k^2).$$

Systematics through the pull method:

Overall flux normalisation:	20%
Overall cross section normalisation:	10%
Zenith angle dependence of fluxes:	5%
Energy spectrum tilt:	5%
Overall systematic uncertainty:	5%

# Precision measurement

of “atmospheric” parameters using muons only

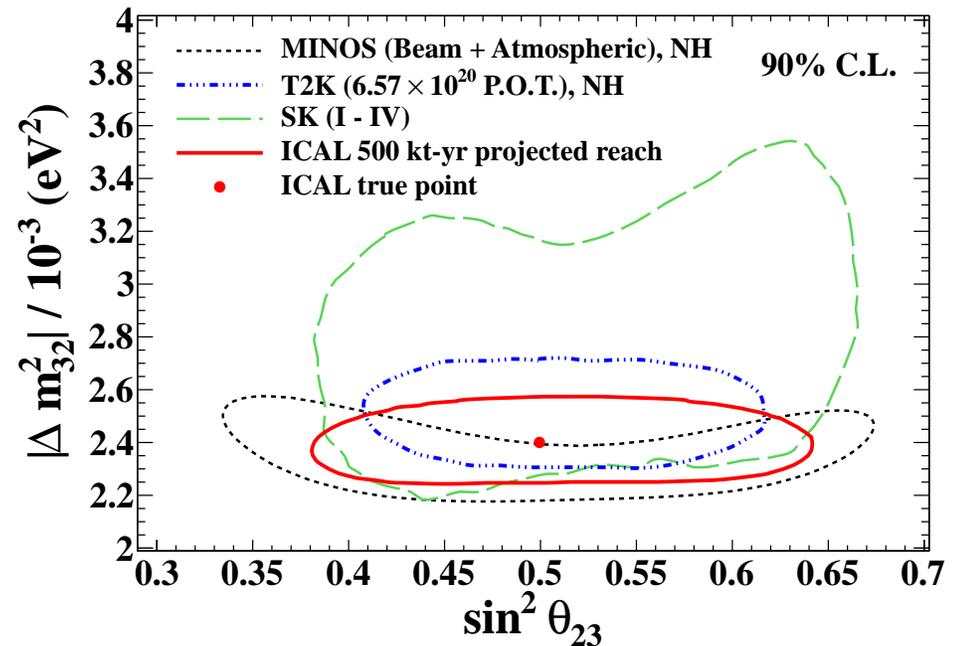
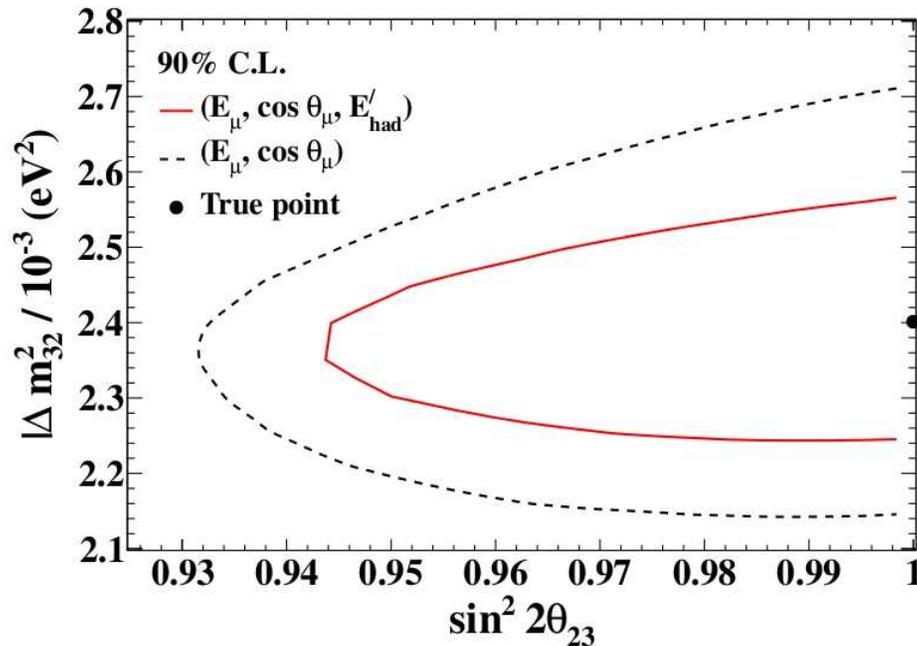


- True hierarchy is assumed normal
- $\sin^2 2\theta_{23}$  more highly constrained than  $\Delta m_{32}^2$ .
- Symmetric plot (LHS) because of choice of input  $\sin^2 2\theta_{23} = 1$ .
- Results on  $\Delta m_{32}^2$  somewhat improved if  $E_\nu$  is considered rather than  $E_\mu$ :  $E_\nu = E_\mu + E'_{had}$ .

# Precision: Impact of hadrons

This analysis uses correlated information on  $E_\mu$ ,  $\cos \theta_\mu$  and  $E'_{had} = E_\nu - E_\mu$ .

Precision reach improves, compared to muon-only analysis.

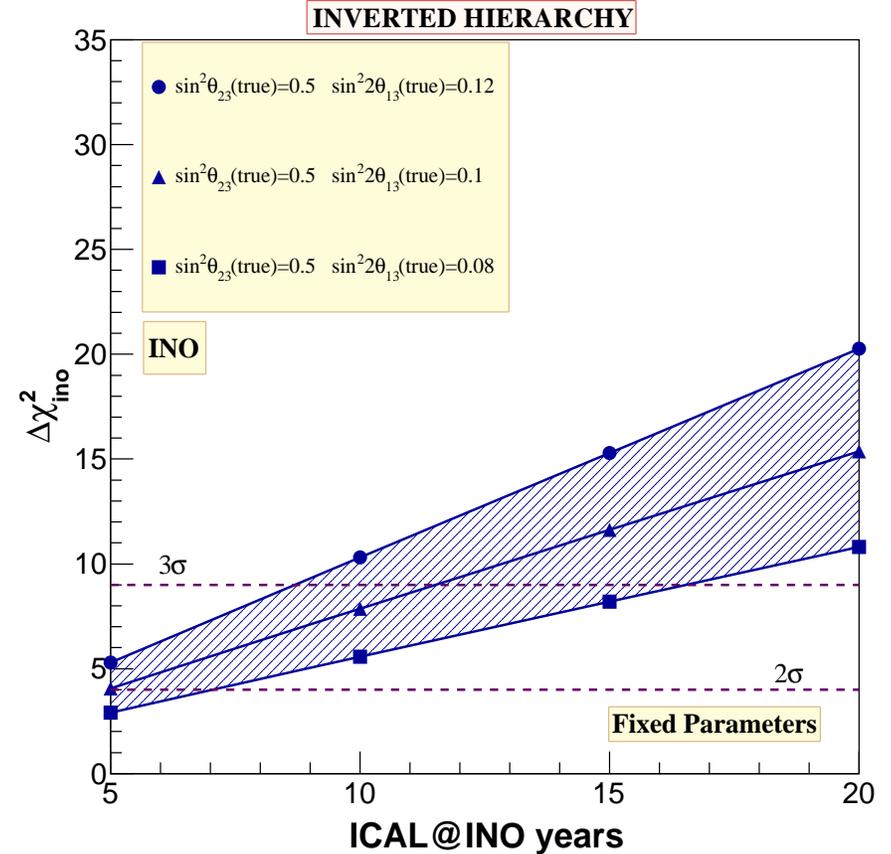
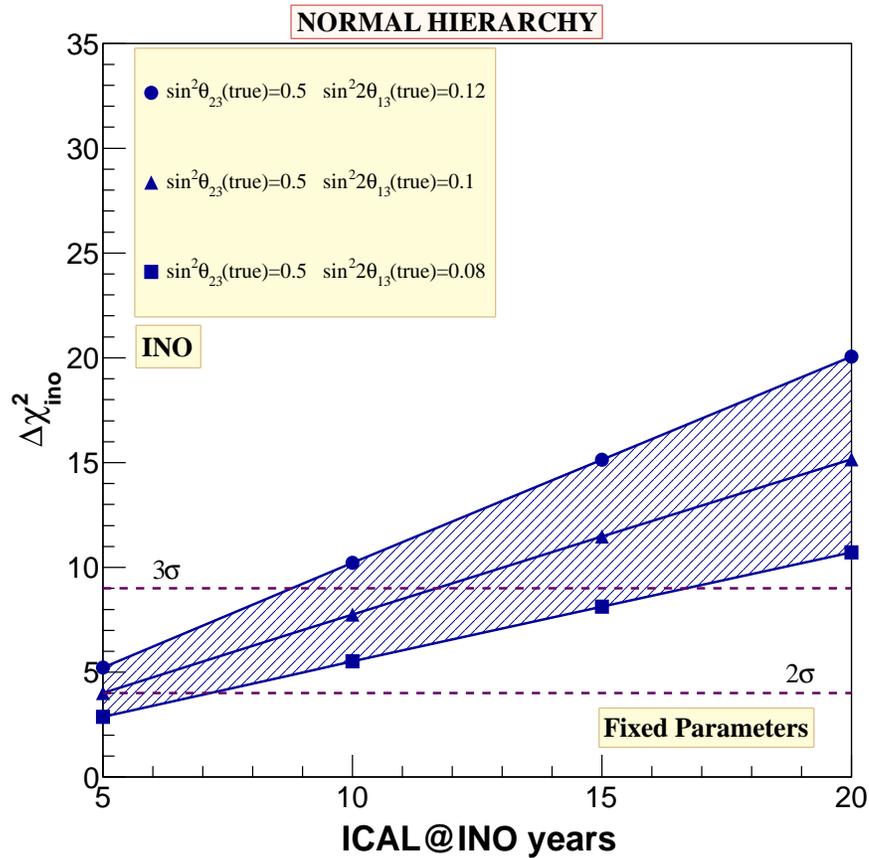


Note: 8% prior on  $\sin^2 2\theta_{13}$  and normal hierarchy assumed as true.

M.M. Devi, Tarak Thakore, Sanjib Agarwalla, Amol Dighe, 2014

# Mass Hierarchy: Muons only

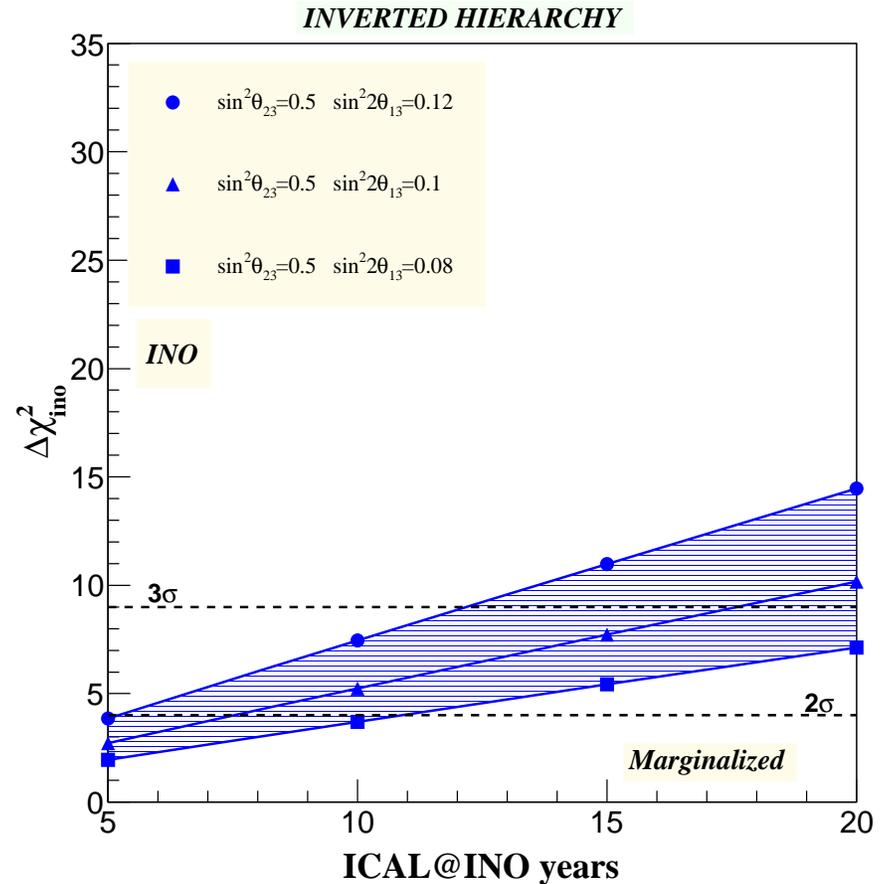
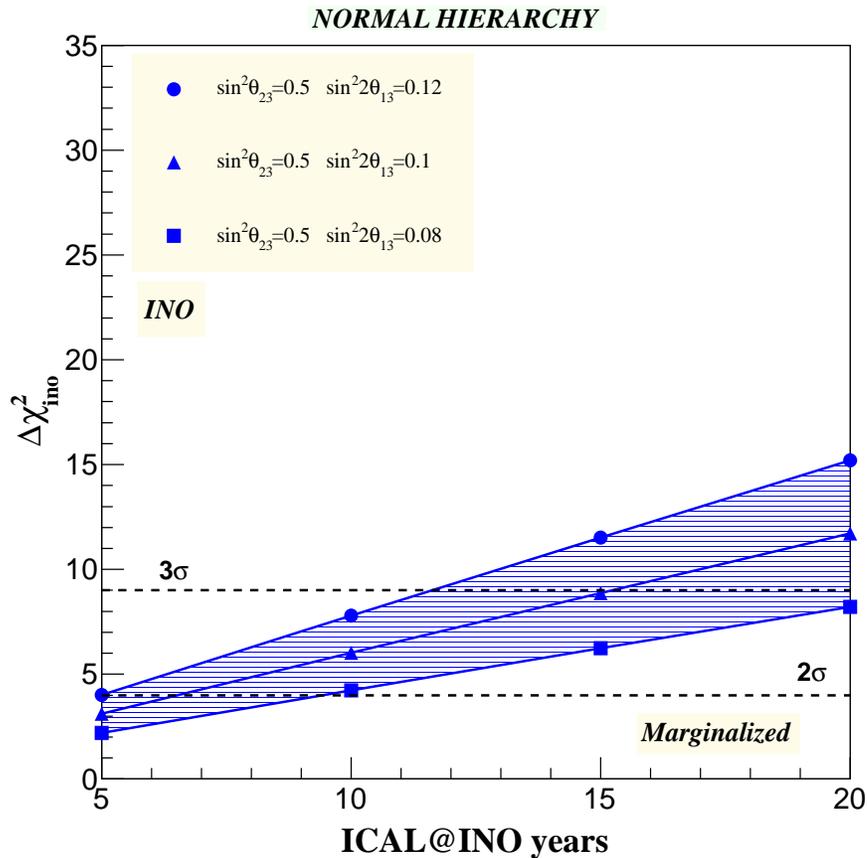
## Fixed Parameters



A. Ghosh, T. Thakore, S. Choubey, arXiv: 1212.1305, 2012.

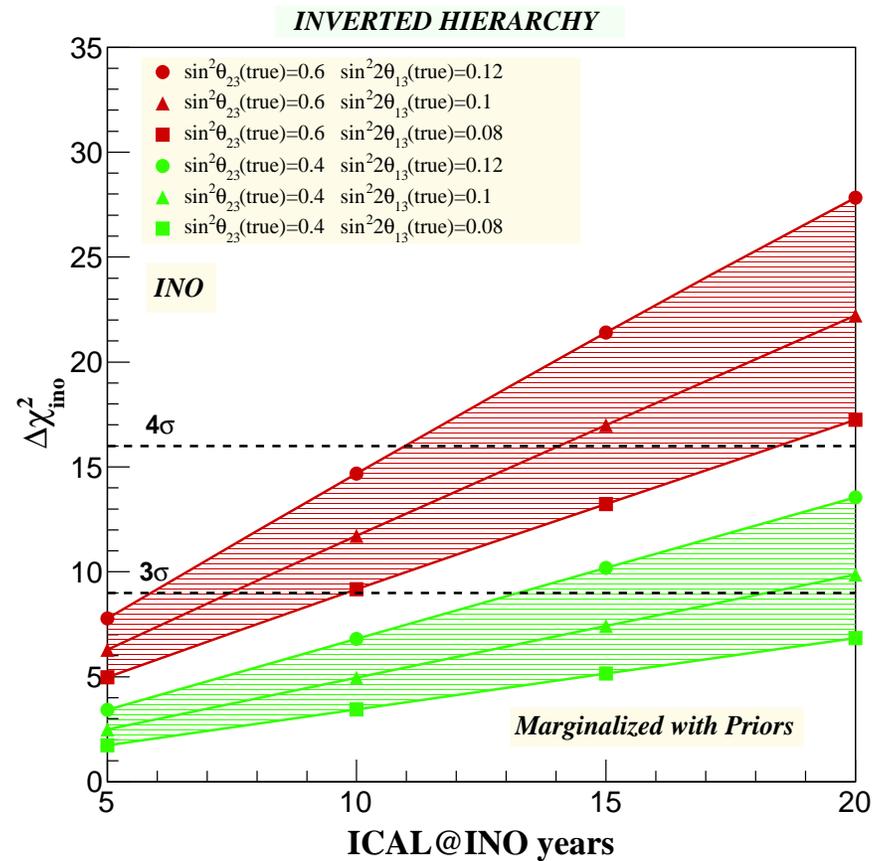
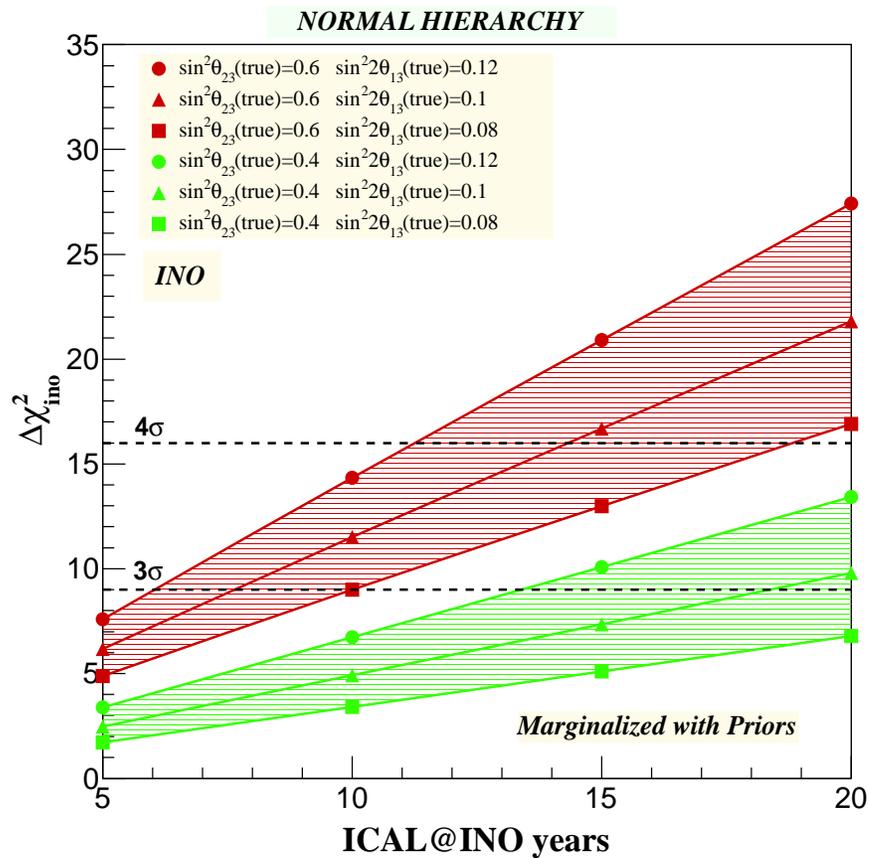
# Hierarchy with marginalised parameters

Marginalised over current  $3\sigma$  ranges of  $|\Delta m_{eff}^2|$ ,  $\sin^2 \theta_{23}$  and  $\sin^2 2\theta_{13}$ .

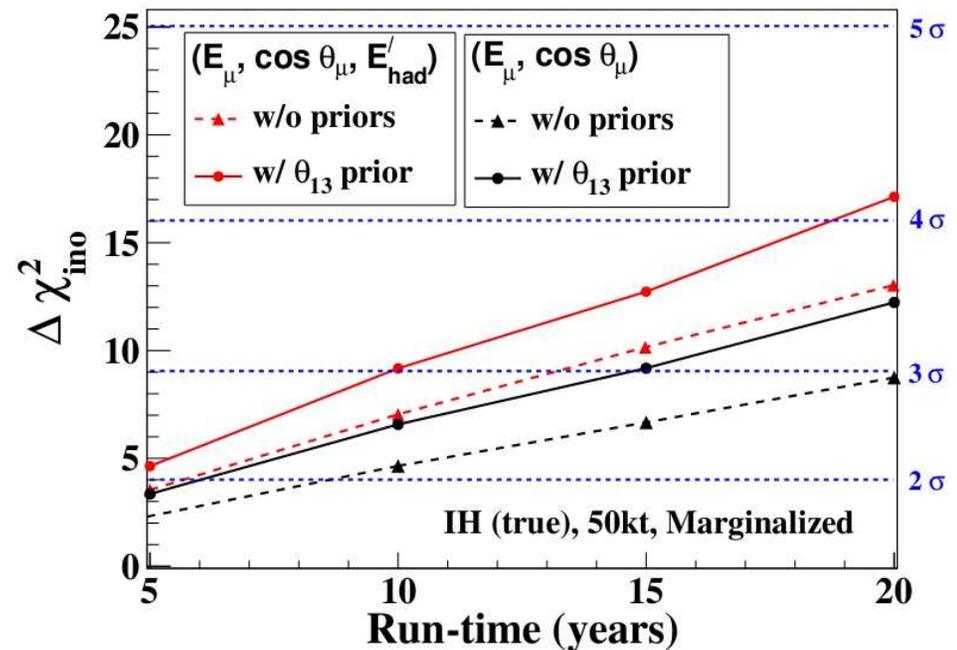
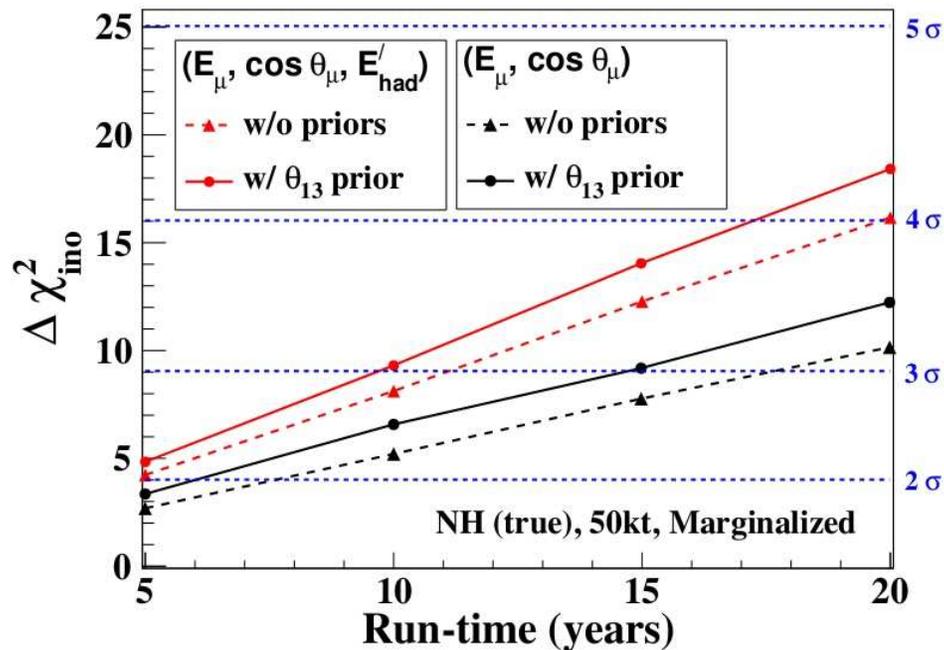


# Hierarchy as a function of $\sin^2 \theta_{23}$

The hierarchy reach improves as a function of both  $\sin^2 \theta_{23}$  (true value) as well as  $\sin^2 2\theta_{13}$ .

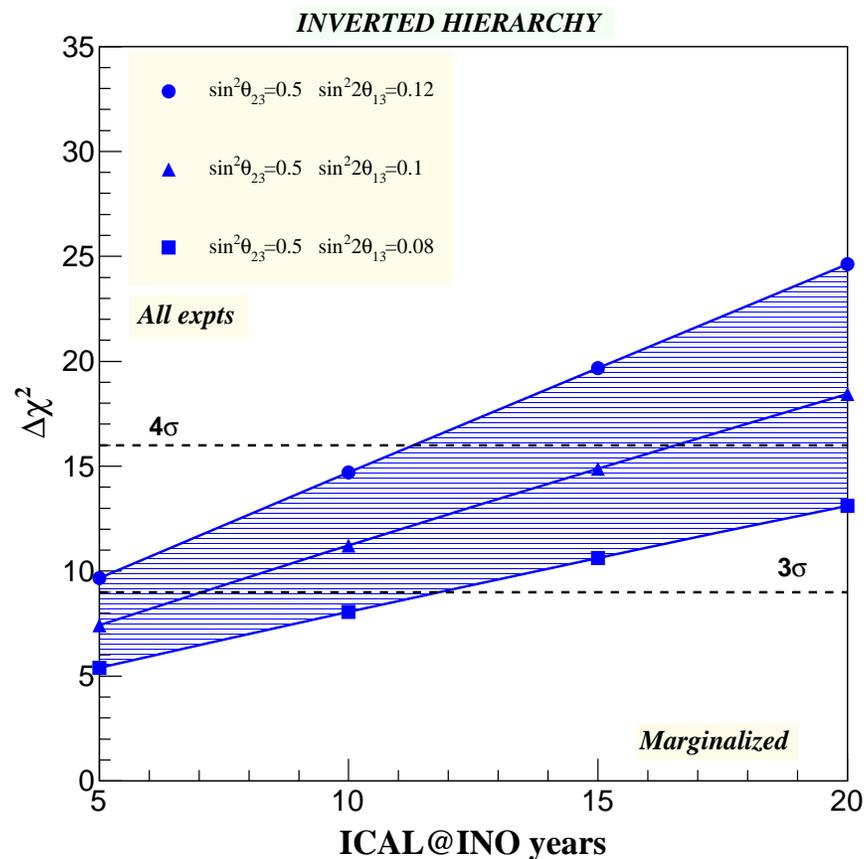
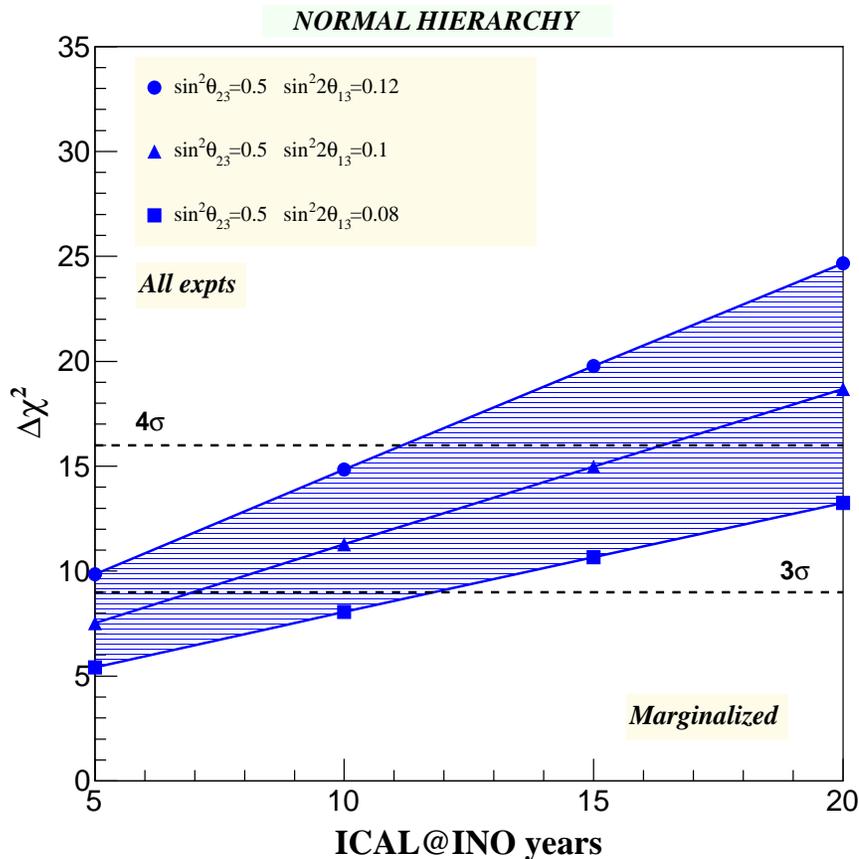


# Impact of hadrons: Hierarchy



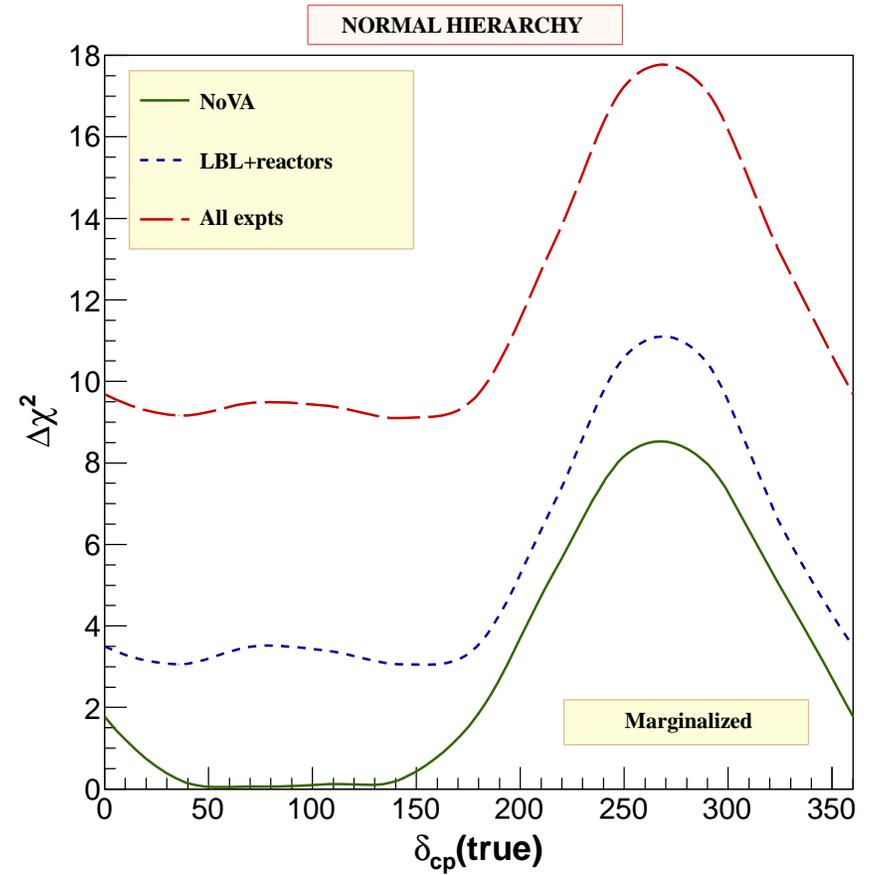
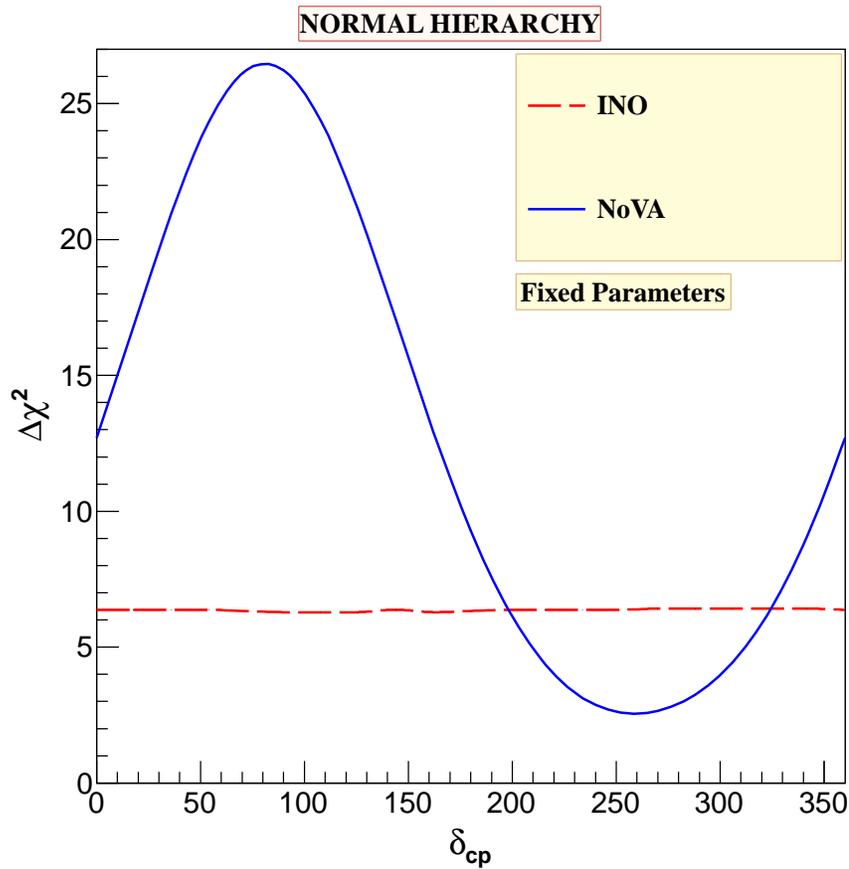
- A  $3\text{-}\sigma$  hierarchy reach is obtainable with 500 kT-years of ICAL exposure on including hadron energy information.
- On including 8% priors on  $\sin^2 2\theta_{13}$ , result improves for both hierarchies.
- Results without hadron *correlated* information were about  $2.5\sigma$  at  $\sin^2 \theta_{23} = 0.5$ ,  $\sin^2 2\theta_{13} = 0.1$ .

# Hierarchy with other experimental inputs



Expts	NOvA	T2K	DB	RENO	DC	ICAL $_{\mu}$	ALL
$\Delta\chi^2(\Delta m_{eff}^2)$	2.59	0.26	0.53	0.12	0.02	7.76	11.28
$\Delta\chi^2(\Delta m_{31}^2)$	2.49	0.31	0.63	0.14	0.02	7.95	11.53

# $\delta_{CP}$ and Hierarchy



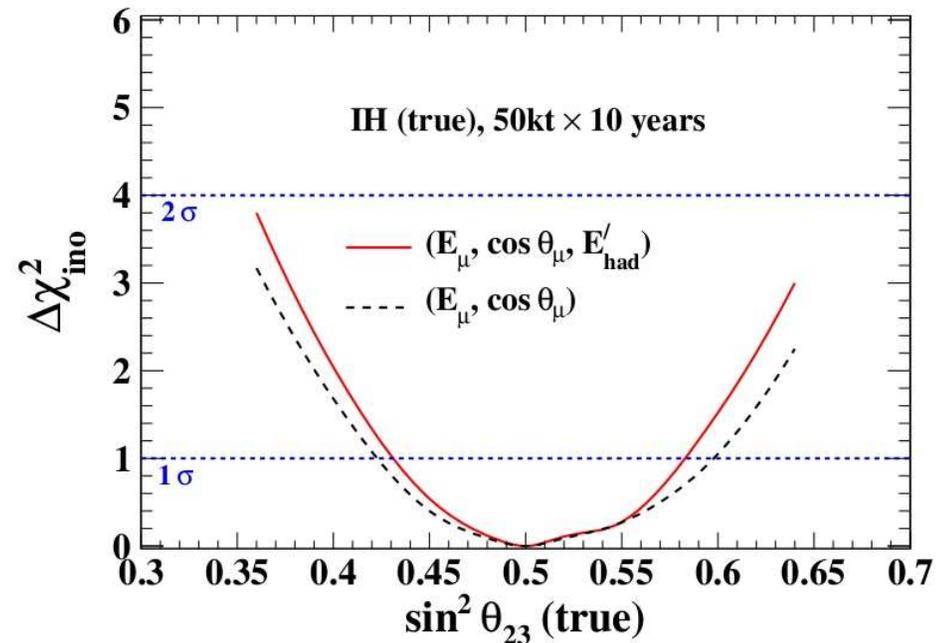
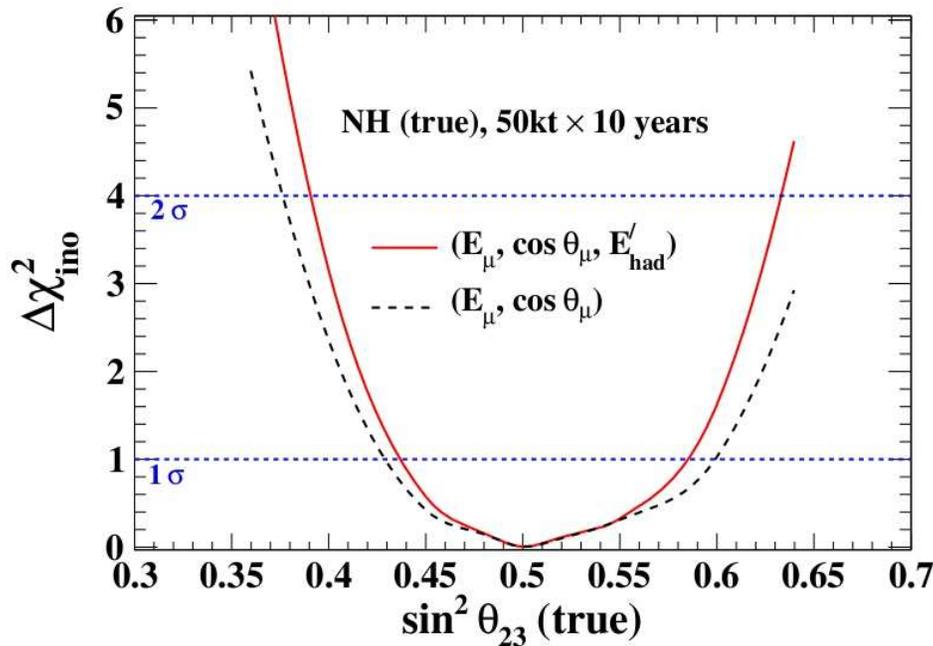
🟡 Again, this is with “muons only”.

A. Ghosh, T. Thakore, S. Choubey, 2012.

# The octant of $\theta_{23}$

$$P_{\mu\mu}^m \approx 1 - \sin^2 2\theta_{23} \left[ \sin^2 \theta_{13}^m \sin^2 \Delta_{21}^m + \cos^2 \theta_{13}^m \sin^2 \Delta_{32}^m \right] - \sin^4 \theta_{23} \sin^2 2\theta_{13}^m \sin^2 \Delta_{31}^m ,$$

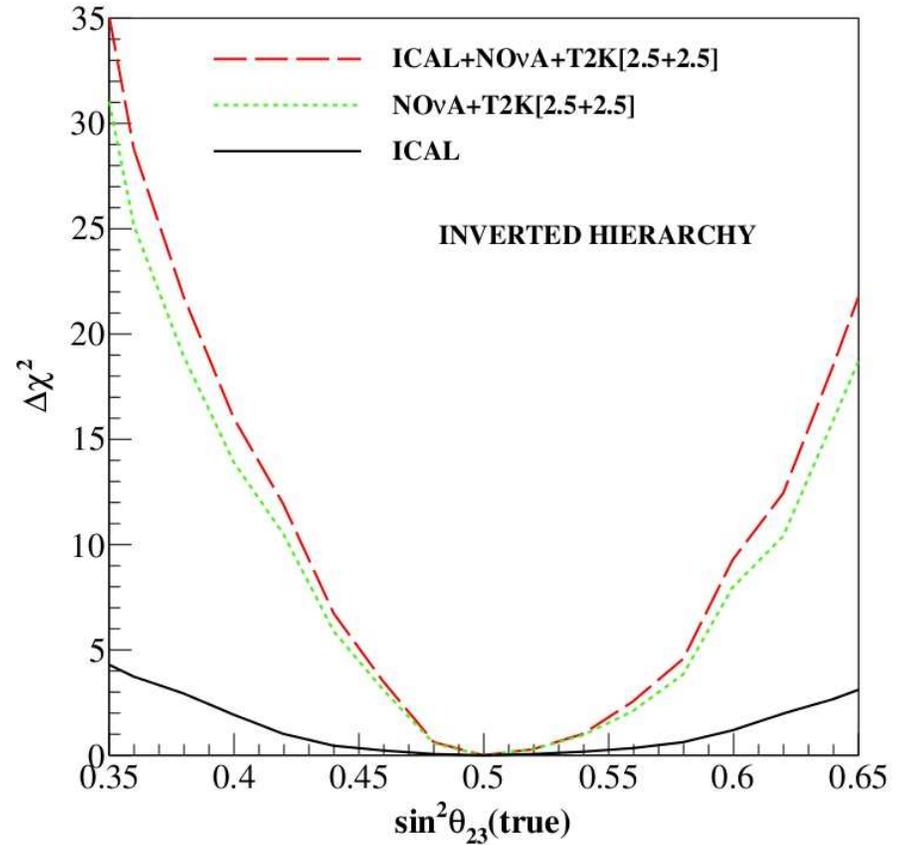
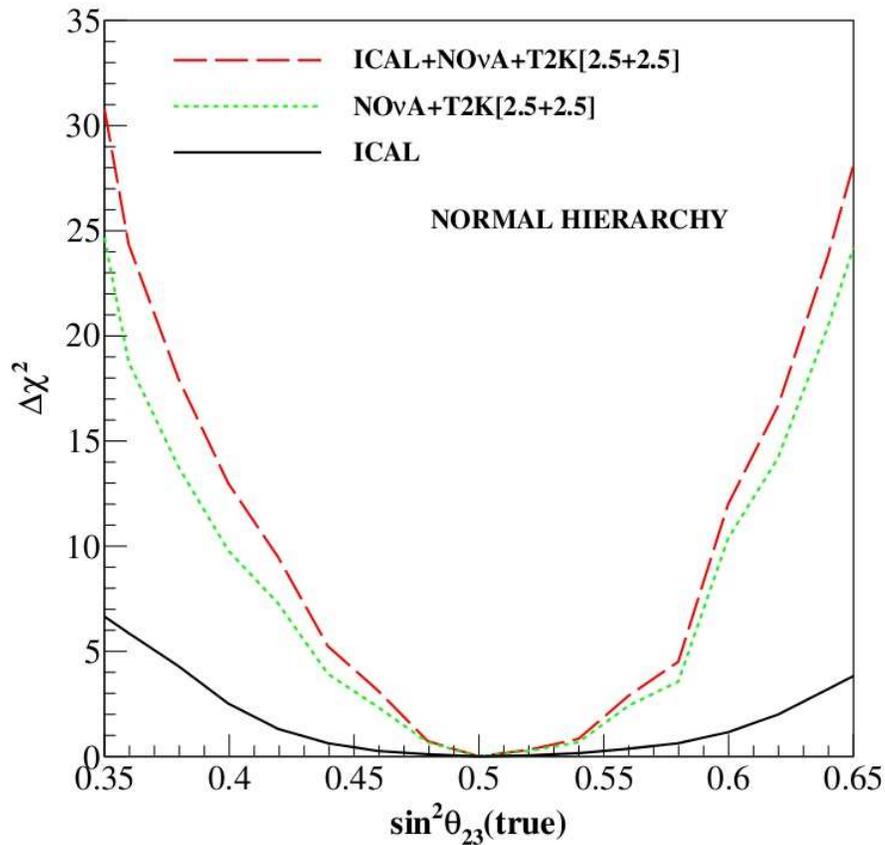
$$P_{e\mu}^m \approx \sin^2 \theta_{23} \sin^2 2\theta_{13}^m \sin^2 \Delta_{31}^m ,$$



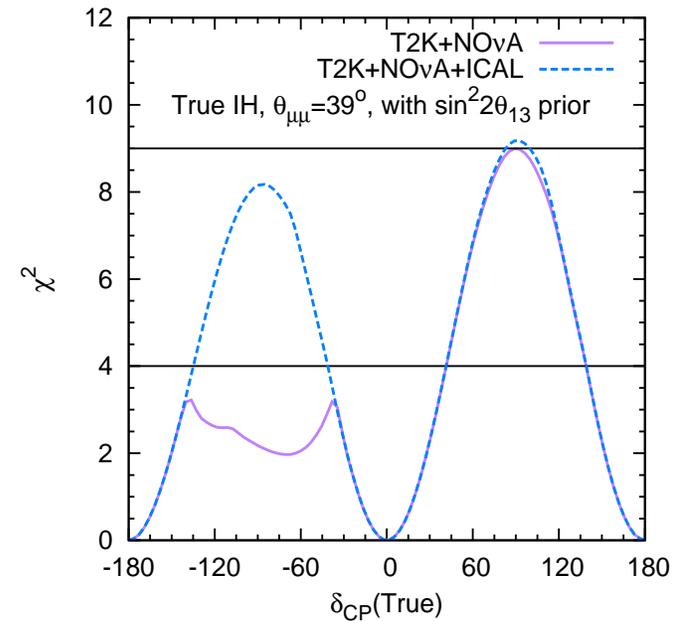
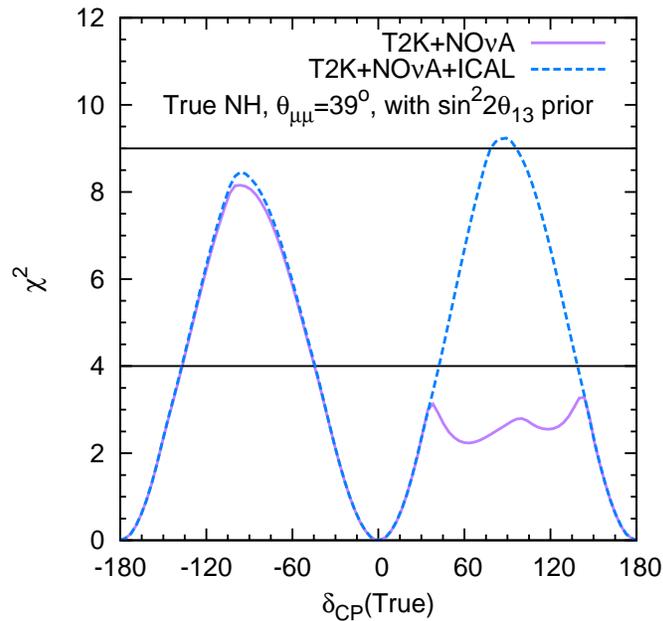
M.M. Devi, Tarak Thakore, Sanjib Agarwalla, Amol Dighe, 2014

# The octant of $\theta_{23}$ : other experiments

ICAL (500 kT-years muon only, with T2K (2.5 years each of  $\nu$  and  $\bar{\nu}$ , and NO $\nu$ A (3 years each).



# The CP phase: impact of ICAL



- ICAL 500 kT-year with muon only, with T2K (5 years  $\nu$  only) and NO $\nu$ A (3 years each)
- Significantly depends on  $\sin \theta_{atm} = \sin \theta_{23} \cos \theta_{13}$  and worsens as  $\theta_{atm}$  increases.

*M. Ghosh, P. Ghoshal, S. Goswami and S. K. Raut, Phys. Rev. D* **89**, 011301 (2014);  
*arXiv:1306.2500 [hep-ph], and arXiv:1401.7243*

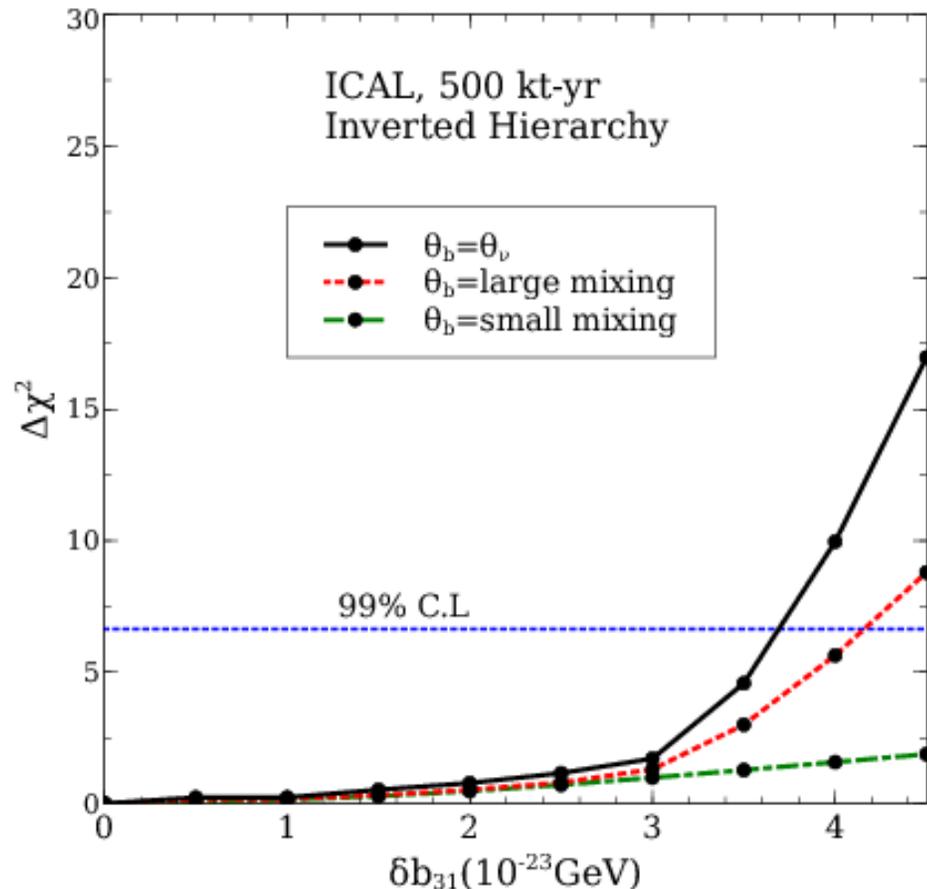
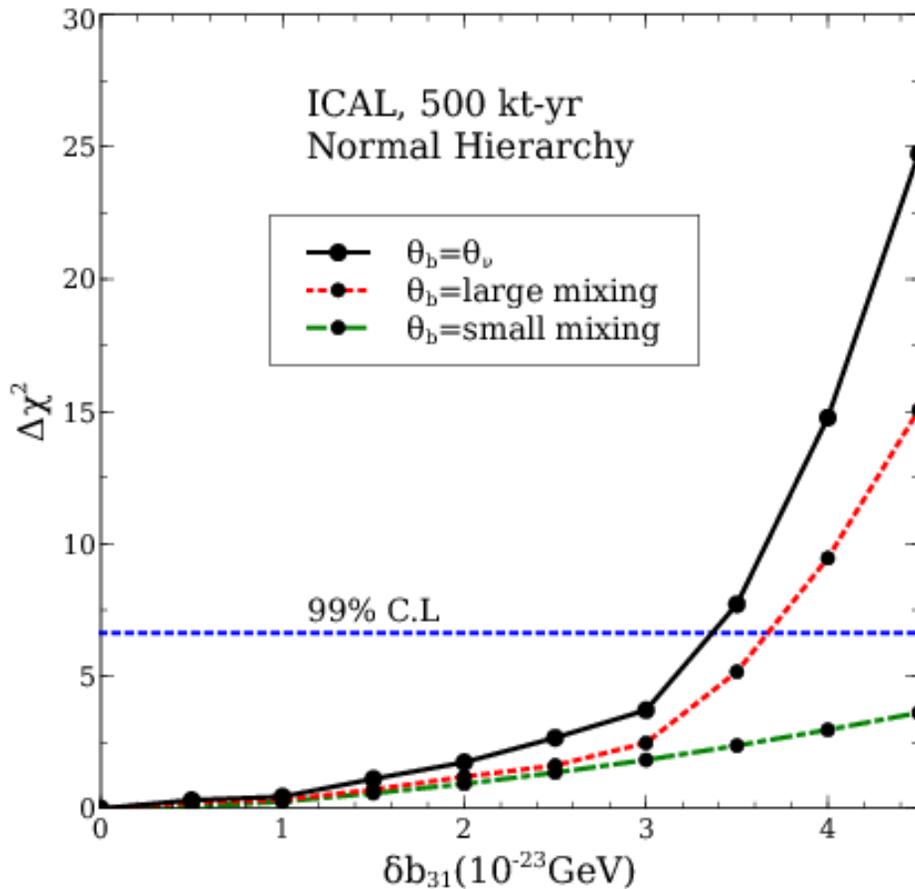
# Lorentz and CPT Violation with ICAL

$$\mathcal{L}_{\nu,eff}^{CPTV} = \bar{\nu}_L^\alpha b_\mu^{\alpha\beta} \gamma^\mu \nu_L^\beta, \quad (\text{S. Coleman, S.L. Glashow, PRD59, 116008 (1999)})$$

$$H_f = \frac{1}{2E} \cdot U_0 \cdot D(0, \Delta m_{21}^2, \Delta m_{31}^2) \cdot U_0^\dagger + U_b \cdot D_b(0, \delta b_{21}, \delta b_{31}) \cdot U_b^\dagger + D_m(V_e, 0, 0)$$

- 6 mixing angles ( $\theta_{12}, \theta_{23}, \theta_{13}, \theta_{b12}, \theta_{b23}, \theta_{b13}$ ) and seven phases.
- $D, D_m$  and  $D_b$  are diagonal;  $b_i$  are e.values of  $\mathbf{b}$ ;  $\delta b_{i1} \equiv b_i - b_1$  for  $i = 2, 3$ ;  $\delta b_{21}$  appears with  $\Delta m_{21}^2$ .
- So study  $\delta b_{31}$  for (all phases taken as zero):
  - (1) small mixing: ( $\theta_{b12} = 6^\circ, \theta_{b23} = 9^\circ, \theta_{b13} = 3^\circ$ ),
  - (2) large mixing: ( $\theta_{b12} = 38^\circ, \theta_{b23} = 45^\circ, \theta_{b13} = 30^\circ$ ) and
  - (3) Identical to the mixing angles in the PMNS matrix: ( $\theta_{bij} = \theta_{ij}$ )
- Minimise  $\chi^2$  w.r.t both  $\mu^-$  and  $\mu^+$  events *separately*; then can eliminate effects that originate from earth matter CPT asymmetry (cid)

# CPTV: limits



- Bounds on  $\delta b_{31}$  for different  $\theta_b$  and different (known) hierarchy.
- Results are marginalized over  $\theta_{23}$ ,  $\theta_{13}$ ,  $\delta_{cp}$ ,  $\Delta m_{31}^2$  and  $\delta b_{21}$ .
- For both types of hierarchy, ICAL should be sensitive to  $\delta b_{31} \geq 3.8 \times 10^{-23} \text{ GeV}$ .

# Other physics possibilities

- Measuring the cosmic ray muon background
- Probing ultrahigh energy cosmic rays through the pair-meter technique
- Searches for monopoles, dark matter, etc., at ICAL
- Constraining long-range leptonic forces by introducing a matter-dependent term in the oscillation probability even in the absence of  $U_{e3}$ , so that neutrinos and anti-neutrinos oscillate differently.



# India-based Neutrino Observatory Project

Current Status

# IICHEP at Madurai



# INO Site at Pottipuram, Theni



# RPC, fully assembled, $2m \times 2m$



# DAQ, design and implementation

## *Design and implementation of the data acquisition system for prototype*

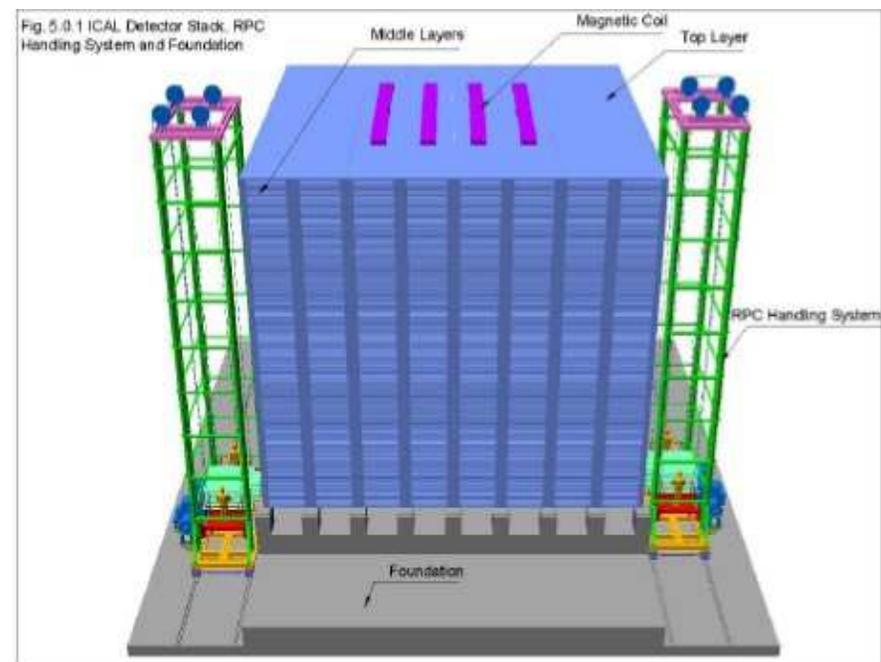
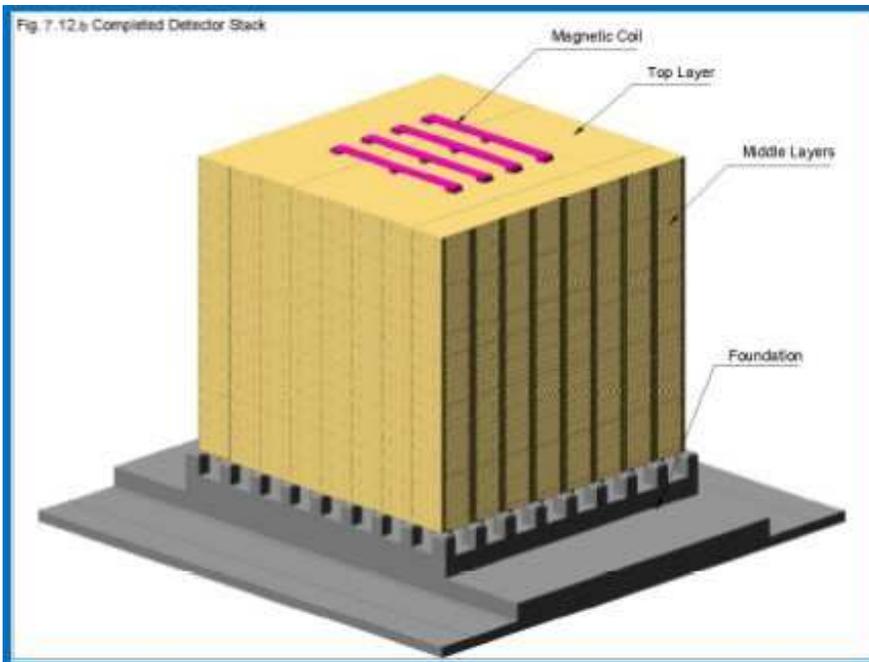
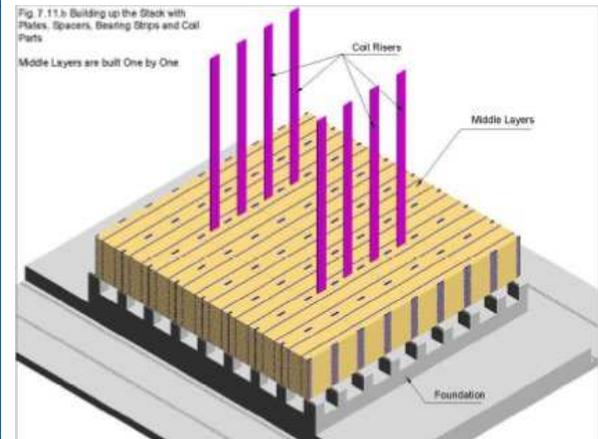
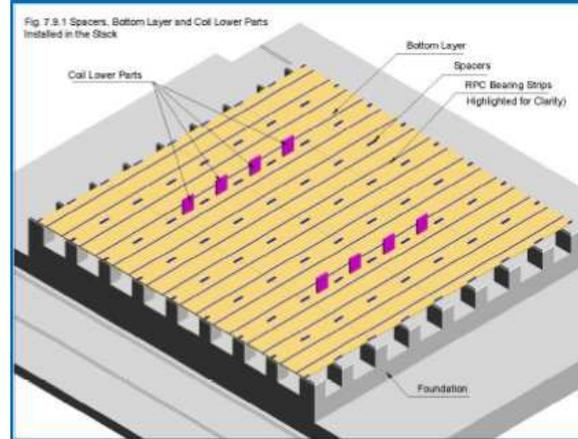
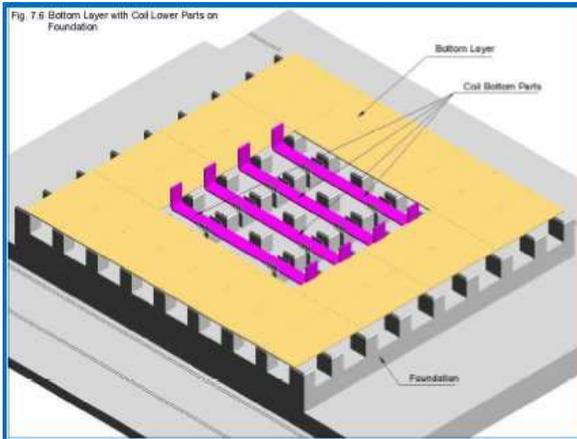


# Coil, jointing effects



# Detector Structure

- Mechanical design and assembly project report prepared by Tata Consulting Engineers (TCE), Mumbai



# Current Status

- Preproject activities started with an initial grant of USD  $\sim$  10 million
  - Site infrastructure development
  - Development of ICHEP at Madurai (110 km from INO lab)
  - Construction of an 1/8 scale prototype module at Madurai. Will test all aspects of engineering module and test efficiency of industrially-produced RPCs apart from physics studies.
- Detector R & D is now complete
- DPR for Detector and DAQ system is ready
- Industrial production of RPCs and associated front end electronics to start soon
- Full project approval by the Department of Atomic Energy, India. Awaiting final clearance from PM's cabinet committee to start construction.
- We have a strong physics programme; all eager to begin!

# Additional Slides

# Neutrino Oscillations

$$(2) \quad |\nu_\alpha\rangle = \sum_i U_{\alpha i} |\nu_i\rangle .$$

Here  $U$  is the  $3 \times 3$  unitary matrix which may be parametrised as (ignoring Majorana phases):

$$(\mathcal{U}) = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{CP}} \\ -c_{23}s_{12} - s_{23}s_{13}c_{12}e^{i\delta_{CP}} & c_{23}c_{12} - s_{23}s_{13}s_{12}e^{i\delta_{CP}} & s_{23}c_{13} \\ s_{23}s_{12} - c_{23}s_{13}c_{12}e^{i\delta_{CP}} & -s_{23}c_{12} - c_{23}s_{13}s_{12}e^{i\delta_{CP}} & c_{23}c_{13} \end{pmatrix} .$$

$\delta_{CP}$  is the CP violating (Dirac) phase and  $M_\nu$  is diagonalised in the charged-lepton mass basis by  $U$ :

$$(4) \quad U^\dagger M_\nu U = \text{diag}(m_1, m_2, m_3).$$

# Matter Effects

First consider matter of constant density  $\rho$  (in gms/cc). Then we can replace the vacuum values by the corresponding matter-modified effective ones obtained by diagonalising the matter dependent matrix (Hamiltonian):

$$(5) \quad U \begin{pmatrix} 0 & 0 & 0 \\ 0 & \Delta m_{21}^2 & 0 \\ 0 & 0 & \Delta m_{31}^2 \end{pmatrix} U^\dagger + \begin{pmatrix} A & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix},$$

where

$$(6) \quad A = 2\sqrt{2}G_F n_e E = 7.63 \times 10^{-5} \text{ eV}^2 \rho(\text{gm/cc}) E(\text{GeV}) \text{ eV}^2.$$

# Mixing angles in matter

Further simplification arises because  $\Delta m_{21}^2 \ll \Delta m_{31}^2$  and we can treat the propagation in matter as a one mass-scale problem involving only

$\Delta m_{32}^2 \approx \Delta m_{31}^2$ . The matter dependent mixing angle  $\theta_{12,m}$  may be approximately written as

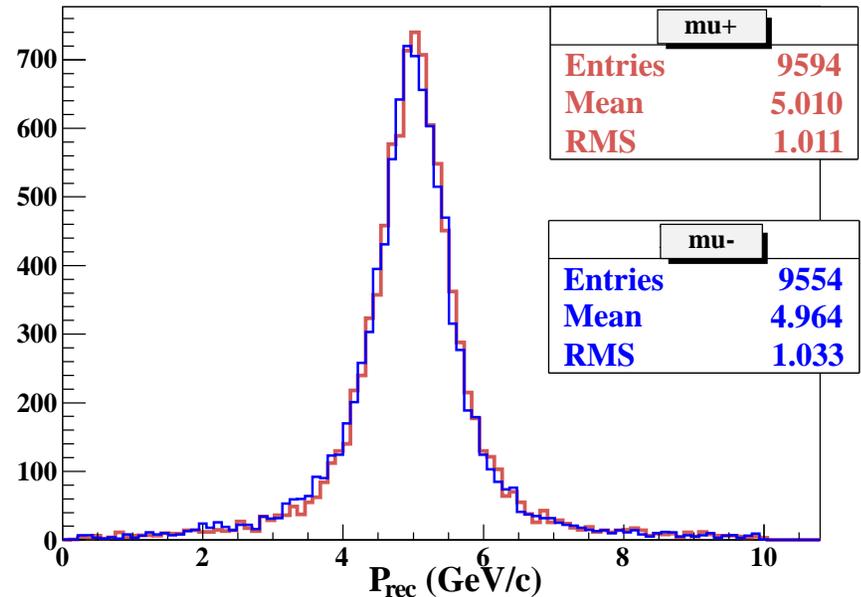
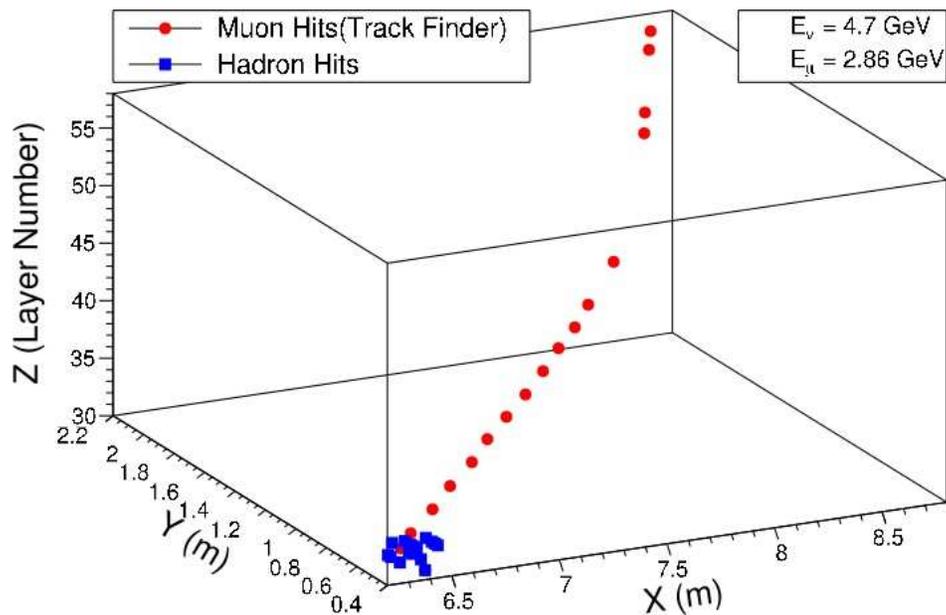
$$(7) \quad \sin 2\theta_{12,m} \approx \frac{\sin 2\theta_{12}}{\sqrt{(\cos 2\theta_{12} - (A/\Delta m_{21}^2) \cos^2 \theta_{13})^2 + \sin^2 2\theta_{12}}} .$$

The effect of matter on the angle  $\theta_{13}$  is non-trivial and is given by

$$(8) \quad \sin 2\theta_{13,m} = \frac{\sin 2\theta_{13}}{\sqrt{(\cos 2\theta_{13} - (A/\Delta m_{31}^2))^2 + (\sin 2\theta_{13})^2}} .$$

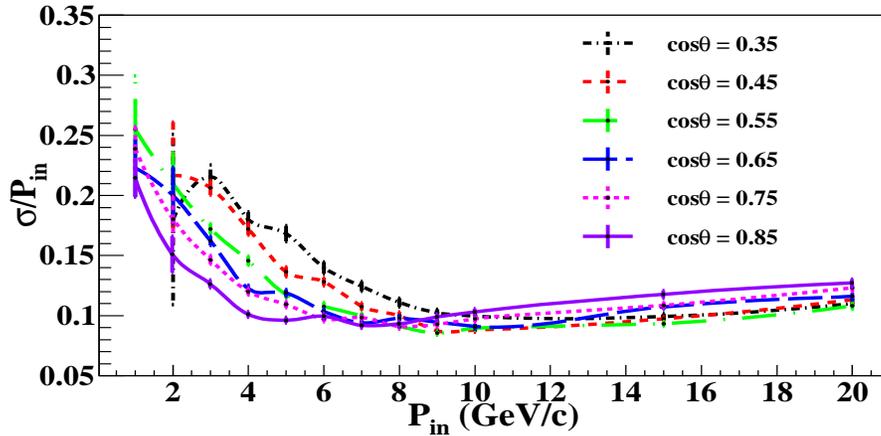
$$(9) \quad \sin 2\theta_{23,m} \approx \sin 2\theta_{23} .$$

# ICAL Simulations

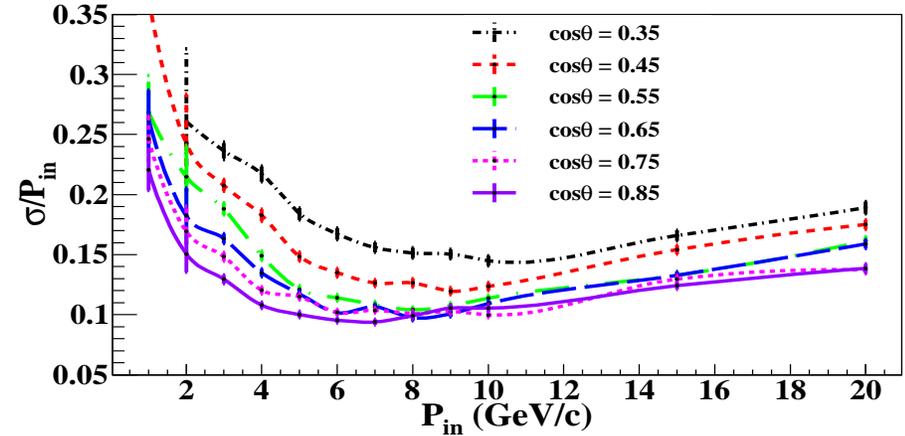


- GEANT4-based simulation of ICAL detector. Magnetic field map through simulations using MAGNET6.0 code.
- Neutrino events generated using NUANCE neutrino generator
- Muons leave long, clean tracks in detector. Calibrated through range or bending in magnetic field (Kalman filter)
- Hadrons are calibrated through the hits they leave: do not traverse many iron layers

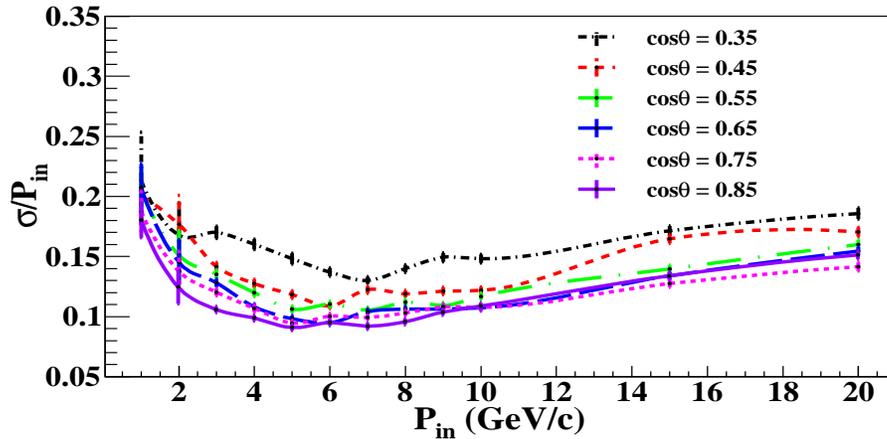
# Muon Resolutions



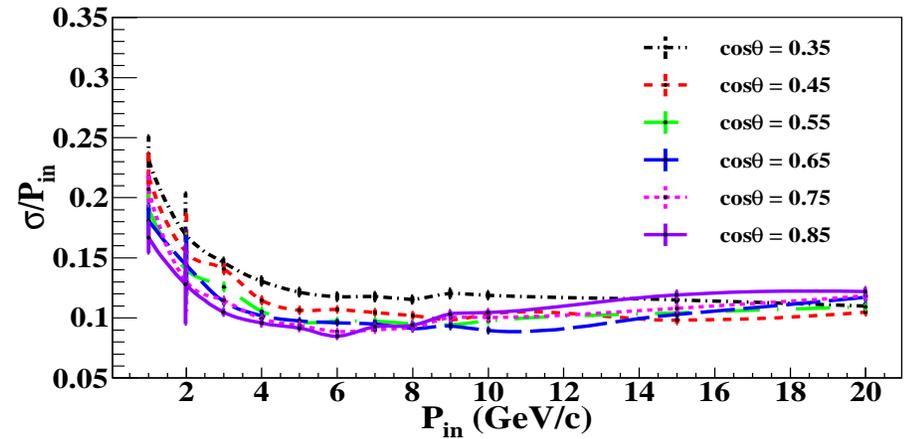
(a)  $|\phi| \leq \pi/4$



(b)  $\pi/4 < |\phi| \leq \pi/2$



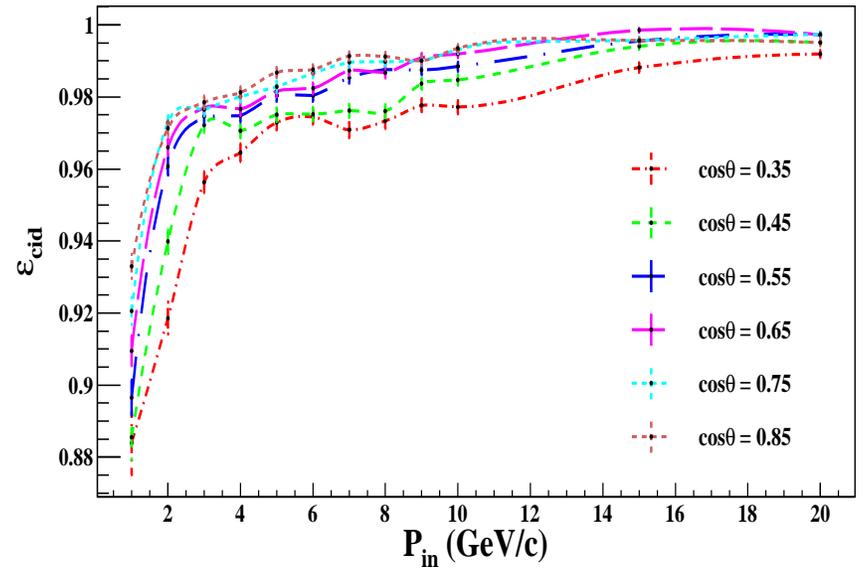
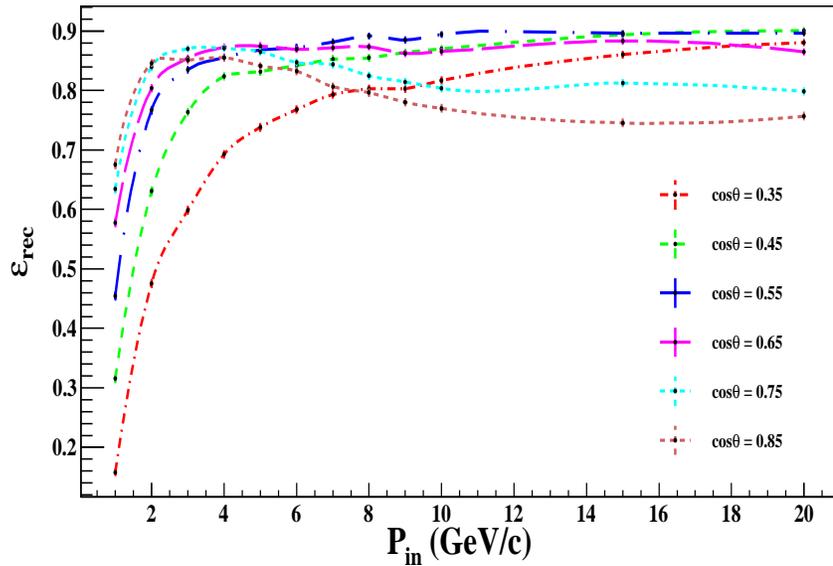
(c)  $\pi/2 < |\phi| \leq 3\pi/4$



(d)  $3\pi/4 < |\phi| \leq \pi$

Note angular resolutions are a degree or better for  $E > 4$  GeV.

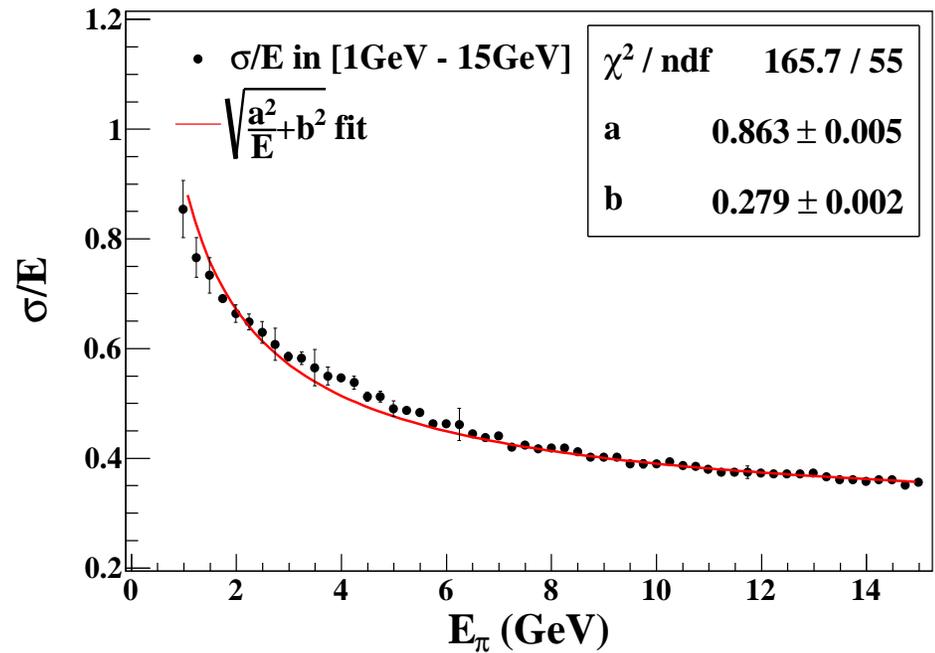
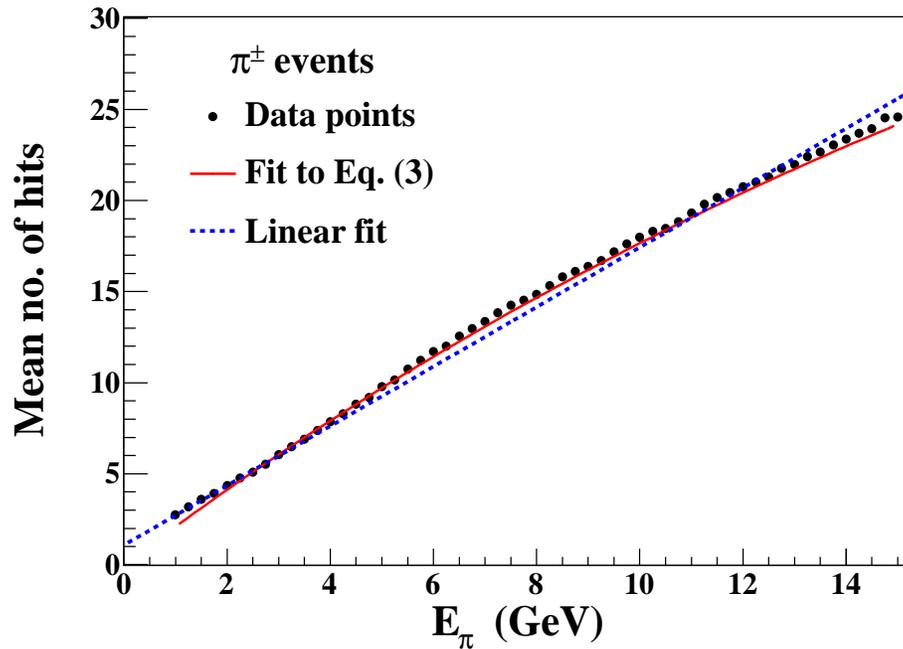
# Efficiencies



Both reconstruction and relative charge id efficiencies are shown here; scales are different.

*A. Chatterjee et al., to appear in JINST*

# Hadron Resolutions



● The energy is calibrated to the mean number of hits

● The width is fitted to  $\frac{\sigma}{E} = \sqrt{\frac{a^2}{E} + b^2}$ , with  $a$  as the stochastic parameter and  $b$  as the residual resolution.

*M.M. Devi et al., JINST, 2014*

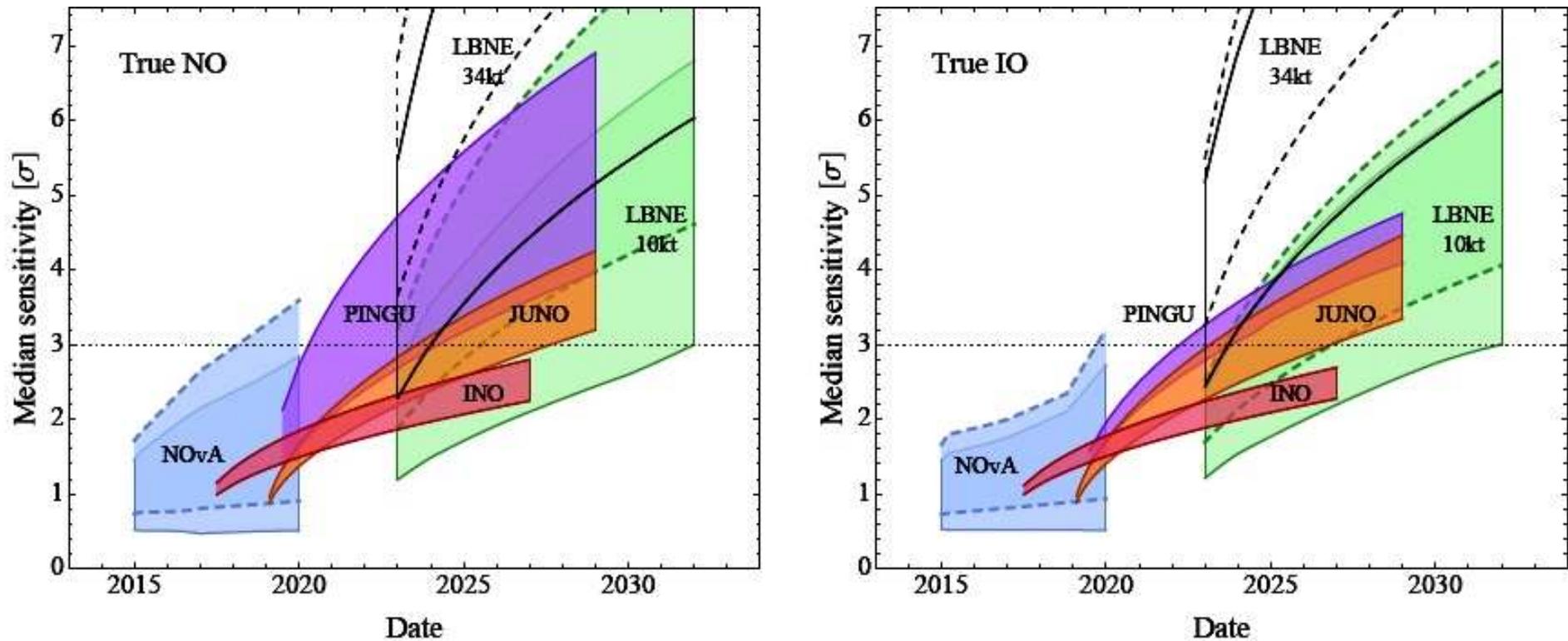


FIG. 12: The left (right) panel shows the median sensitivity in number of sigmas for rejecting the IC (NO) if the NO (IO) is true for different facilities as a function of the date. The width of the bands correspond to different true values of the CP phase  $\delta$  for  $\text{NO}\nu\text{A}$  and LBNE, different true values of  $\theta_{23}$  between  $40^\circ$  and  $50^\circ$  for INO and PINGU, and energy resolution between  $3\%\sqrt{1 \text{ MeV}/E}$  and  $3.5\%\sqrt{1 \text{ MeV}/E}$  for JUNO. For the long baseline experiments, the bands with solid (dashed) contours correspond to a true value for  $\theta_{23}$  of  $40^\circ$  ( $50^\circ$ ). In all cases, octant degeneracies are fully searched for.